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(54) Title: NOVEL TRIENOIC RETINOID COMPOUNDS AND METHODS

(57) Abstract

Novel trienoic retinoid compounds having activity for retinoic acid receptors and retinoid X receptors are provided. Also provided are pharmaceutical compositions incorporating such compounds and methods for their use.

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NOVEL TRIENOIC RETINOID COMPOUNDS AND METHODS

5

Field of the Invention

The present invention relates to compounds having activity for retinoic acid receptors and retinoid X receptors, and to methods for the therapeutic use of such compounds.

Background of the Invention

10 The vitamin A metabolite, retinoic acid, has long been recognized to induce a broad spectrum of biological effects. In addition, a variety of structural analogues of retinoic acid have been synthesized that also have been found to be bioactive. Some, such as Retin-A® and Accutane®, have found utility as therapeutic agents for the treatment of various pathological conditions. In addition, synthetic retinoids have been found to mimic many of
15 the pharmacological actions of retinoic acid.

Medical professionals have become very interested in the therapeutic applications of retinoids. Among their uses approved by the FDA is the treatment of severe forms of acne and psoriasis. A large body of evidence also exists that these compounds can be used to arrest and, to an extent, reverse the effects of skin damage arising from prolonged exposure
20 to the sun. Other evidence exists that these compounds may be useful in the treatment and prevention of a variety of cancerous and pre-cancerous conditions, such as melanoma, cervical cancer, some forms of leukemia, oral leukoplakia and basal and squamous cell carcinomas. Retinoids have also shown an ability to be efficacious in treating and preventing diseases of the eye, cardiovascular system, immune system, skin, respiratory and digestive
25 tracts, and as agents to facilitate wound healing and modulate programmed cell death (apoptosis).

Major insight into the molecular mechanism of retinoic acid signal transduction was gained in 1988, when a member of the steroid/thyroid hormone intracellular receptor superfamily was shown to transduce a retinoic acid signal. Evans, *Science*, 240:889-95
30 (1988); Giguere et al., *Nature*, 330:624-29 (1987); Petkovich et al., *Nature*, 330: 444-50 (1987). It is now known that retinoids regulate the activity of two distinct intracellular

receptor subfamilies; the Retinoic Acid Receptors (RARs) and the Retinoid X Receptors (RXRs), including their isoforms, RAR α , β , γ and RXR α , β , γ . In this regard, an endogenous low-molecular-weight ligand which modulates the transcriptional activity of the RARs is all-trans-retinoic acid (ATRA), while an endogenous ligand for the RXRs is 9-cis retinoic acid (9-cis). Heyman *et al.*, *Cell*, 68:397-406 (1992) and Levin *et al.* *Nature*, 355:359-61 (1992).

Although both the RARs and RXRs respond to ATRA *in vivo*, due to the *in vivo* conversion of some of the ATRA to 9-cis, the receptors differ in several important aspects. First, the RARs and RXRs are significantly divergent in primary structure (e.g., the ligand 10 binding domains of RAR α and RXR α have only 27% amino acid identity). These structural differences are reflected in the different relative degrees of responsiveness of RARs and RXRs to various vitamin A metabolites and synthetic retinoids. In addition, distinctly different patterns of tissue distribution are seen for RARs and RXRs. For example, in contrast to the RARs, which are not expressed at high levels in the visceral tissues, RXR α 15 mRNA has been shown to be most abundant in the liver, kidney, lung, muscle and intestine. Finally, the RARs and RXRs have different target gene specificity. For example, response elements have recently been identified in the cellular retinal binding protein type II (CRBPII) and Apolipoprotein AI genes which confer responsiveness to RXR, but not RAR. Furthermore, RAR has also been recently shown to repress RXR-mediated activation 20 through the CRBPII RXR response element (Mangelsdorf *et al.*, *Cell*, 66:555-61 (1991)). These data indicate that two retinoic acid responsive pathways are not simply redundant, but instead manifest a complex interplay.

In view of the related, but clearly distinct, nature of these receptors, retinoids which are more selective for the RAR subfamily or the RXR subfamily would be of great value for 25 selectively controlling processes mediated by one or more of the RAR or RXR isoforms, and would provide the capacity for independent control of the physiologic processes mediated by the RARs or RXRs. In addition, pan-agonist retinoids that activate one or more isoforms of both the RARs and RXRs would also be valuable for controlling processes mediated by both of these subfamilies of retinoid receptors. Furthermore, retinoids which preferentially affect

one or more but not all of the receptor isoforms also offer the possibility of increased therapeutic efficacy and reduced side effect profiles when used for therapeutic applications.

Various polyene compounds have been disclosed to be useful in the treatment of inflammatory conditions, psoriasis, allergic reactions, and for use in sunscreens in cosmetic preparations. See e.g., U.S. patent Numbers 4,534,979 and 5,320,833. In addition, trienediolates of hexadienoic acids have proved useful in the synthesis of retinoic and nor-retinoic acids. See M.J. Aurell, et al., 49 *Tetrahedron*, 6089 (1993). However, no retinoid activity has been ascribed to these compounds.

Summary of the Invention

The present invention provides novel trienoic compounds that have selective activity on RARs and RXRs or pan-agonist activity on one or more each of the RAR and RXR isoforms. The present invention also provides labeled retinoid compounds, pharmaceutical compositions incorporating these novel trienoic compounds and methods for the therapeutic use of such compounds and pharmaceutical compositions.

These and various other advantages and features of novelty which characterize the invention are pointed out with particularity in the claims annexed hereto and forming a part hereof. However, for a better understanding of the invention, its advantages, and objects 10 obtained by its use, reference should be had to the accompanying descriptive matter, in which there is illustrated and described preferred embodiments of the invention.

Definitions

In accordance with the present invention and as used herein, the following terms 15 are defined with the following meanings, unless explicitly stated otherwise.

The term alkyl refers to straight-chain, branched-chain or cyclic structures that are optionally saturated or unsaturated (thereby resulting in alkenyl and alkynyl structures), as well as combinations thereof.

The term aryl refers to an optionally substituted six-membered aromatic ring. 20 The term heteroaryl refers to an optionally substituted five-membered or six-membered heterocyclic ring containing one or more heteroatoms selected from the group consisting of oxygen, nitrogen and sulfur.

The terms retinoid or retinoids refer to compound(s) that bind and/or activate one or more retinoid receptors, thereby affecting the transcriptional activity of a target gene to 25 which the activated receptor and compound complex binds.

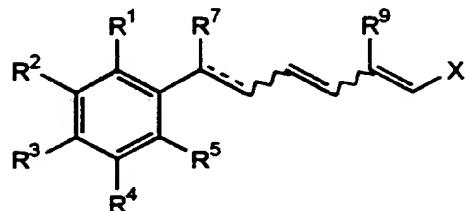
The term pan-agonist refers to a retinoid that activates at least one member of both the RAR subfamily (i.e., RAR α , RAR β , or RAR γ) and the RXR subfamily (i.e., RXR α , RXR β , or RXR γ). Preferably such pan-agonist retinoids activate all members of both the RAR and RXR subfamilies of retinoid receptors.

As used herein, isotopic labels or radiolabels refer to substituents labeled with deuterium, tritium, carbon 13 and/or carbon 14, including, but not limited to $^{14}\text{CH}_3$, $^{13}\text{CH}_3$, CD_3 , C^3H_3 , and $^{13}\text{CD}_3$.

Detailed Description of
Embodiments of the Invention

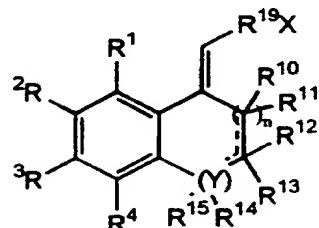
In accordance with a first aspect of the present invention, we have developed novel trienoic compounds having the formulae:

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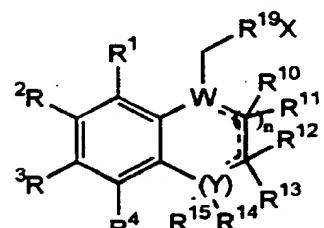
(I)

OR



(II)

OR

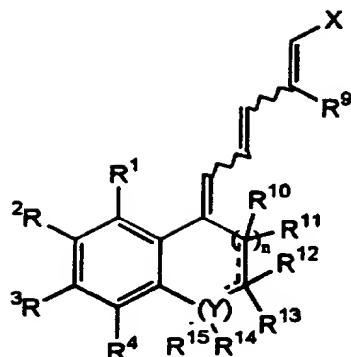


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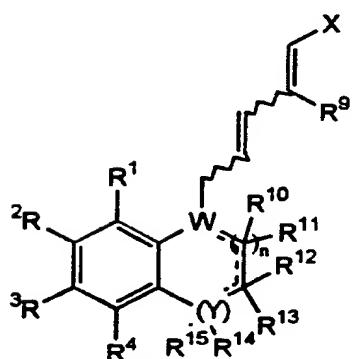
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15

OR



OR



5

wherein:

R^1 , R^2 and R^4 each independently are hydrogen, aryl, heteroaryl, CF_3 or a $C_2 - C_6$ alkyl, fluoroalkyl or perfluoroalkyl optionally substituted with $^{14}CH_3$, $^{13}CH_3$, CD_3 , C^3H_3 , and/or $^{13}CD_3$;

10 R^3 and R^5 each independently are hydrogen, CF_3 , a $C_1 - C_3$ alkyl, a C_1 to C_3 fluoroalkyl or perfluoroalkyl, or OR^6 , where R^6 is hydrogen, CF_3 , a $C_1 - C_2$ alkyl or a C_1 to C_2 fluoroalkyl or perfluoroalkyl, provided, however, that R^1 and R^5 cannot be CF_3 or alkyl, fluoroalkyl or perfluoroalkyl when R^3 is CF_3 or alkyl, fluoroalkyl or perfluoroalkyl;

15 R^7 is a $C_1 - C_4$ alkyl optionally substituted with $^{14}CH_3$, $^{13}CH_3$, CD_3 , C^3H_3 , and/or $^{13}CD_3$ or CH_2OR^8 , where R^8 represents hydrogen, a $C_1 - C_6$ alkyl, a $C_3 - C_7$ saturated or

unsaturated cycloalkyl optionally substituted with a C₁ - C₄ alkyl, F, Cl, Br, I, OH, CF₃, OR⁶, NR⁶, where R⁶ has the definition given above;

R⁹ is a C₁ - C₄ alkyl;

R¹⁰ through R¹⁵ each independently are hydrogen, a C₁ - C₆ alkyl or CF₃;

5 X is COOR¹⁶, CONR¹⁷, or CONHR¹⁷R¹⁸ where R¹⁶ represents hydrogen or a C₁ - C₆ alkyl, and where R¹⁷ and R¹⁸ each independently represent a C₁ - C₆ alkyl, or an aryl or heteroaryl optionally substituted with OH, F, Br, Cl or I, provided, however, that R¹⁷ and R¹⁸ both cannot be an aryl or heteroaryl;

Y is C, O, S or N, provided that, when Y is O, then R¹⁴ and R¹⁵ do not exist, and 10 when Y is N, then R¹⁴ and R¹⁵ cannot be CF₃, and when Y is S, then R¹⁴ and R¹⁵ can independently or together represent O, or may be absent altogether;

W is N or CR¹⁶, where R¹⁶ has the same definition given above;

15 R¹⁹ is an aryl or heteroaryl optionally substituted with one or more substituents selected from the group consisting of hydrogen, F, Cl, Br, I or a C₁ - C₆ alkyl, wherein X has the same definition given above;

n is 0, 1 or 2;

the dotted lines designate optional double bonds; and

the wavy lines depict carbon to carbon bonds in either the *cis* or *trans* configurations, provided, however, that when R¹, R², R⁴ and R⁵ are all hydrogen, then R³ cannot be aryl.

20 Preferably, R¹, R² and R⁴ independently represent C₃ - C₆ branched alkyls, fluoroalkyls or perfluoroalkyls, more preferably R² and R⁴ independently represent C₃ - C₆ branched alkyls, fluoroalkyls or perfluoroalkyls, while R¹, R³ and R⁵ are all hydrogen, and

most preferably R² and R⁴ are selected from the group consisting of isopropyl, t-butyl and CF₃, while R¹, R³ and R⁵ are all hydrogen.

The compounds of the present invention also include all pharmaceutically acceptable salts, as well as esters, amides and prodrugs. Preferably, such salts, esters and amides, will 5 be formed at the R¹⁶, R¹⁷ and/or R¹⁸ positions. As used in this disclosure, pharmaceutically acceptable salts include, but are not limited to: pyridine, ammonium, piperazine, diethylamine, nicotinamide, formic, urea, sodium, potassium, calcium, magnesium, zinc, lithium, cinnamic, methylamino, methanesulfonic, picric, tartaric, triethylamino, dimethylamino, and tris(hydroxymethyl)aminomethane. Additional pharmaceutically acceptable salts are known 10 to those skilled in the art.

The compounds of the present invention exhibit retinoid activity and are particularly useful in the treatment of skin-related diseases, including, without limitation, actinic keratoses, arsenic keratoses, inflammatory and non-inflammatory acne, psoriasis, ichthyoses and other keratinization and hyperproliferative disorders of the skin, eczema, atopic dermatitis, 15 Darriers disease, lichen planus, prevention and reversal of glucocorticoid damage (steroid atrophy), as a topical anti-microbial, as skin pigmentation agents and to treat and reverse the effects of age and photo damage to the skin. The compounds are also useful for the prevention and treatment of cancerous and pre-cancerous conditions, including, premalignant and malignant hyperproliferative diseases such as cancers of the breast, skin, prostate, cervix, 20 uterus, colon, bladder, esophagus, stomach, lung, larynx, oral cavity, blood and lymphatic system, metaplasias, dysplasias, neoplasias, leukoplakias and papillomas of the mucous membranes and in the treatment of Kaposis sarcoma. In addition, the present compounds can be used as agents to treat diseases of the eye, including, without limitation, proliferative vitreoretinopathy (PVR), retinal detachment, dry eye and other corneopathies, as well as in 25 the treatment and prevention of various cardiovascular diseases, including, without limitation, diseases associated with lipid metabolism such as dyslipidemias, prevention of restenosis and as an agent to increase the level of circulating tissue plasminogen activator (TPA). Other uses for the compounds of the present invention include the prevention and treatment of conditions and diseases associated with human papilloma virus (HPV), including warts

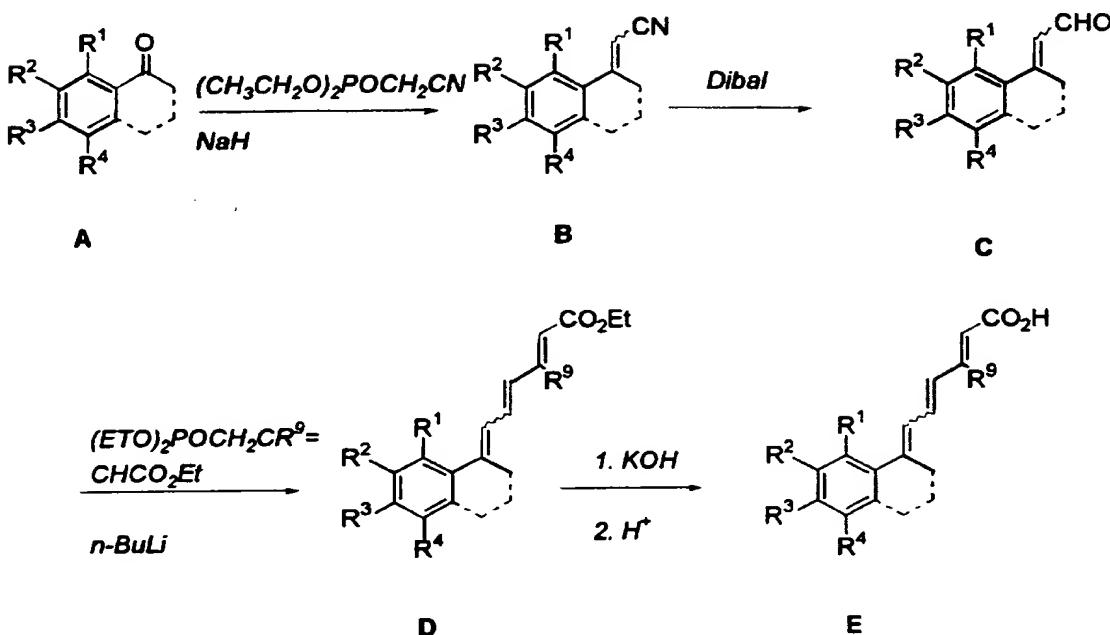
and genital warts, various inflammatory diseases such as pulmonary fibrosis, ileitis, colitis and Krohn's disease, neurodegenerative diseases such as Alzheimer's disease, Parkinson's disease and Amyotrophic Lateral Sclerosis (ALS), improper pituitary function, including insufficient production of growth hormone, modulation of apoptosis, including both the
5 induction of apoptosis and inhibition of T-Cell activated apoptosis, restoration of hair growth, including combination therapies with the present compounds and other agents such as Minoxidil®, diseases associated with the immune system, including use of the present compounds as immunosuppressants and immunostimulants, modulation of organ transplant rejection and facilitation of wound healing, including modulation of chelosis. It will also be
10 understood by those skilled in the art that the retinoid compounds of the present invention will prove useful in any therapy in which retinoids, including RAR selective retinoids, RXR selective retinoids, and pan-agonist retinoids will find application.

Furthermore, it will be understood by those skilled in the art that the compounds of the present invention, including pharmaceutical compositions and formulations containing
15 these compounds, can be used in a wide variety of combination therapies to treat the conditions and diseases described above. Thus, the compounds of the present invention can be used in combination with other therapies, including, without limitation, chemotherapeutic agents such as cytostatic and cytotoxic agents, immunological modifiers such as interferons, interleukins, growth hormones and other cytokines, hormone therapies, surgery and radiation
20 therapy.

Representative compounds of the present invention include, without limitation, ethyl (2E, 4E, 6E)-7-(3,5-di-t-butylphenyl)-3-methylocta-2,4,6-trienoate; ethyl (2E, 4E, 6Z)-7-(3,5-di-t-butylphenyl)-3-methylocta-2,4,6-trienoate; (2E, 4E, 6E)-7-(3,5-di-t-butylphenyl)-3-methylocta-2,4,6-trienoic acid; (2E, 4E, 6Z)-7-(3,5-di-t-butylphenyl)-3-methylocta-2,4,6-
25 trienoic acid; ethyl (2E, 4E)-7-(3,5-di-t-butylphenyl)-3-methylocta-2,4-dienoate; (2E, 4E)-7-(3,5-di-t-butylphenyl)-3-methylocta-2,4-dienoic acid; ethyl (2E, 4E, 6E)-7-(3,5-di-t-butylphenyl)-3-methyldeca-2,4,6-trienoate; ethyl (2E, 4E, 6E)-7-(3,5-di-t-butylphenyl)-3-methyldeca-2,4,6-
trienoic acid; (2E, 4E, 6Z)-7-(3,5-di-t-butylphenyl)-3-methyldeca-2,4,6-trienoic acid; ethyl
30 (2E, 4E, 6E)-6-(6,8-di-t-butylchroman-4-ylidene)-3-methylhexa-2,4,6-trienoate; ethyl

($2E,4E,6Z$)-6-(6,8-di-t-butylchroman-4-ylidene)-3-methylhexa-2,4,6-trienoate; ($2E,4E,6E$)-6-(6,8-di-t-butylchroman-4-ylidene)-3-methylhexa-2,4,6-trienoic acid; ($2E,4E,6Z$)-6-(6,8-di-t-butylchroman-4-ylidene)-3-methylhexa-2,4,6-trienoic acid; ($2E,4E,6E$)-7-(3,5-di-trifluoromethylphenyl)-3-methylocta-2,4,6-trienoic acid; ($2E,4E,6Z$)-7-(3,5-di-trifluoromethylphenyl)-3-methylocta-2,4,6-trienoic acid; ($2E,4E,6E$)-7-(3,5-di-isopropylphenyl)-3-methylocta-2,4,6-trienoic acid; ($2E,4E,6Z$)-7-(3,5-di-isopropylphenyl)-3-methylocta-2,4,6-trienoic acid; ($2E,4E,6E$)-7-(4-t-butylphenyl)-3-methylocta-2,4,6-trienoic acid; ($2E,4E,6E$)-7-(3,5-di-t-butyl-4-methoxyphenyl)-3-methylocta-2,4,6-trienoic acid; ($2E,4E,6E$)-3-methyl-7-(3,5-di-t-butyl-4-methoxyphenyl)octa-2,4,6-trienoic acid; ($2E,4E,6E$)-3-methyl-7-(3,4-diethylphenyl)octa-2,4,6-trienoic acid; ($2E,4E,6Z$)-3-methyl-7-(3,4-diethylphenyl)octa-2,4,6-trienoic acid; ($2E,4E,6E$)-3-methyl-7-(3,5-di-t-butyl-4-ethoxyphenyl)octa-2,4,6-trienoic acid; ($2E,4E,6E$)-3-methyl-7-(3,4-di-t-butylphenyl)octa-2,4,6-trienoic acid; ($2E,4E,6E$)-3-methyl-7-cyclohexyl-7-(3,5-di-t-butylphenyl)hepta-2,4,6-trienoic acid; ($2E,4E,6E$)-3-methyl-7-(3,5-di-t-butylphenyl)nona-2,4,6-trienoic acid; and
15 ($2E,4E,6Z$)-3-methyl-7-(3,4-diethyl-6-methylphenyl)nona-2,4,6-trienoic acid.

The compounds of the present invention can be obtained by routine chemical synthesis by those skilled in the art, e.g., by modification of the compounds disclosed or by a total synthesis approach. In this regard, the synthesis of the compounds of the present invention follows well established retinoid synthesis schemes and techniques as described in M.I. Dawson and W.H. Okamura, "Chemistry and Biology of Synthetic Retinoids", Chapters 3, 8, 14 and 16, CRC Press, Inc., Florida (1990); M.I. Dawson and P.D. Hobbs, *The Synthetic Chemistry of Retinoids, In Chapter 2: "The Retinoids, Biology, Chemistry and Medicine"*, M.B. Sporn et al., Eds. (2nd ed.), Raven Press, New York, New York, pp. 5-178 (1994) and R.S.H. Liu and A. E. Asato, "Photochemistry and Synthesis of Stereoisomers of Vitamin 20 A," 40 *Tetrahedron*, 1931 (1984), the disclosures of which are herein incorporated by reference. The sequence of steps of the general methods of synthesizing the compounds of the present invention are shown below. In addition, more detailed and illustrative synthetic schemes for specific compounds of the present invention will be found in the Examples 25 included herein.

General Method 1

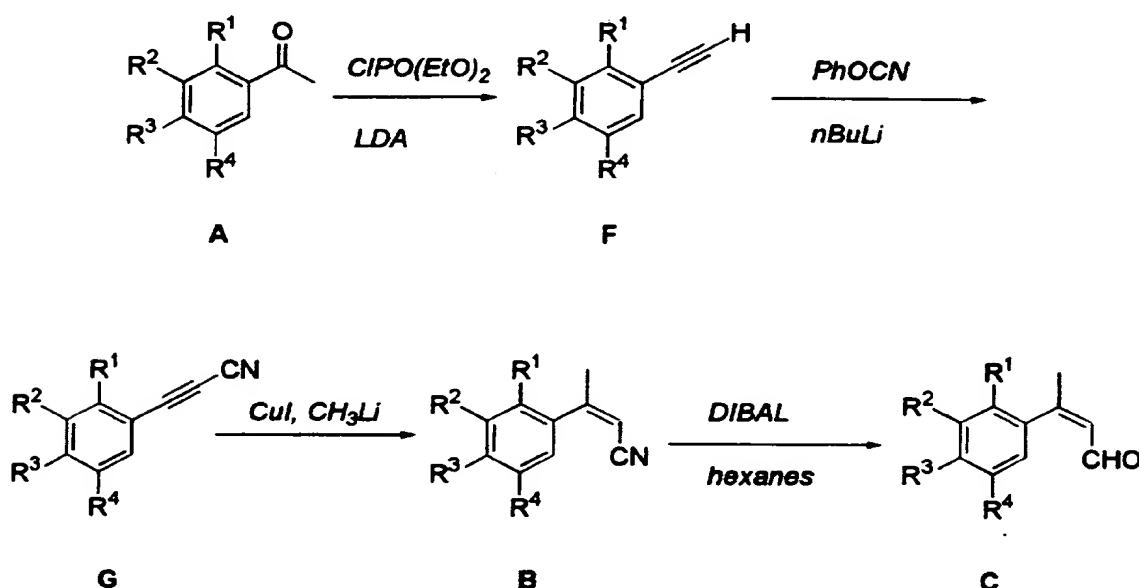
5 In General Method 1, the compounds of the present invention may be prepared by treatment of an aryl ketone **A** with a phosphonate, such as diethylcyanomethylphosphonate, to give the nitrile **B**, followed by reduction of **B** (where the optional single or double bonds are illustrated with dashed lines) in the presence of a reducing agent, such as diisobutyl aluminum hydride (Dibal) to provide the aldehyde **C**. The *cis* and *trans* isomers of aldehyde **C** 10 may be separated at this stage via thin-layer chromatography (TLC), or other recognized procedures known to those skilled in the art. These separated aldehydes **C** are then treated with a phosphonate, such as triethyl-3-alkyl-4-phosphonocrotonate, to give the trienoate esters **D**, which in turn can be saponified under basic conditions to give the carboxylic acid **E**.

15 Alternatively, utilizing General Method 2, shown below, the *cis* isomer of aldehyde **C** may be prepared from the alkyne **F**. Specifically, aryl alkyne **F** is prepared from aryl acetophenone **A** by treatment with a phosphorylating agent, such as ClPO(EtO)₂, in the presence of a strong base, such as lithium diisopropylamide (LDA). Aryl alkyne **F** is then treated with

a suitable nitrile source, such as PhOCN, in the presence of base, such as nBuLi, to give nitrile **G**, which is then subjected to reductive methylation to give exclusively the *cis* isomer of nitrile **B**. Nitrile **B** is then reduced to the corresponding aldehyde **C** and homologated in the same fashion as described in General Method 1 above to yield compounds **D** and **E**.

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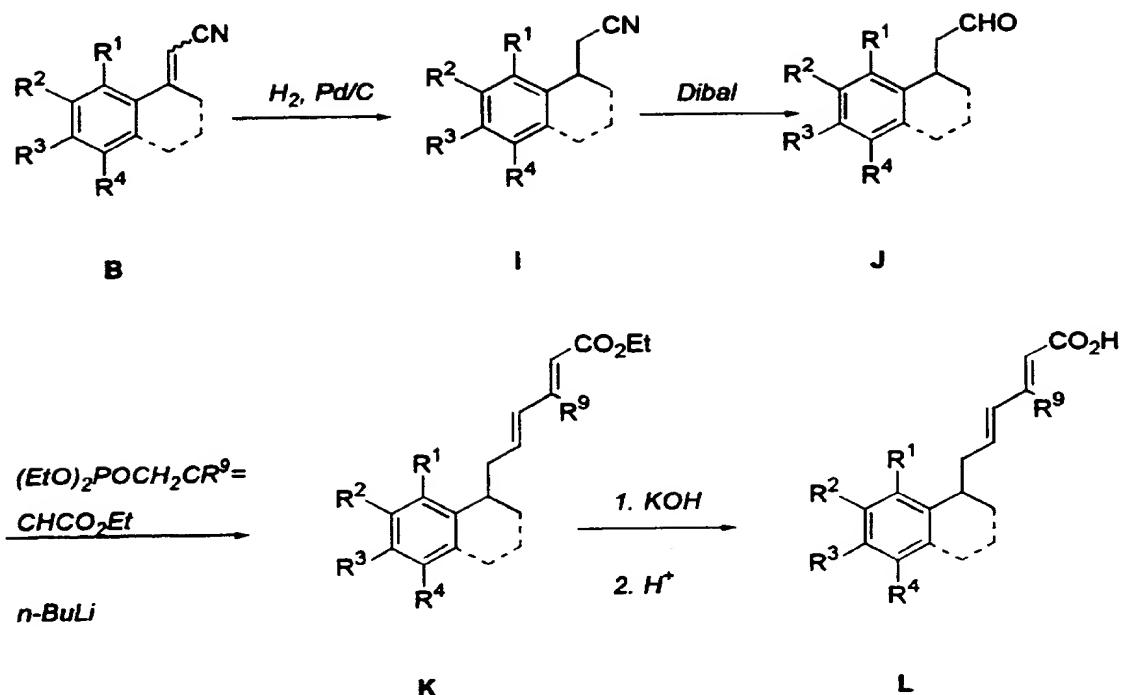
General Method 2



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Other analogs of compounds of the present invention may be prepared via General Method 3, by first reducing the double bond of nitrile **B** (where the single or double optional bonds are illustrated with dashed lines) to give nitrile **I**. Thereafter nitrile **I** is reduced in the presence of Dibal to yield aldehyde **J**, which in turn is treated with a phosphonate, such as triethyl-3-alkyl-4-phosphonocrotonate, to give dienoate ester **K**. Saponification of dienoate ester **K** via base, such as KOH/MeOH, gives the dienoic acid **L**.

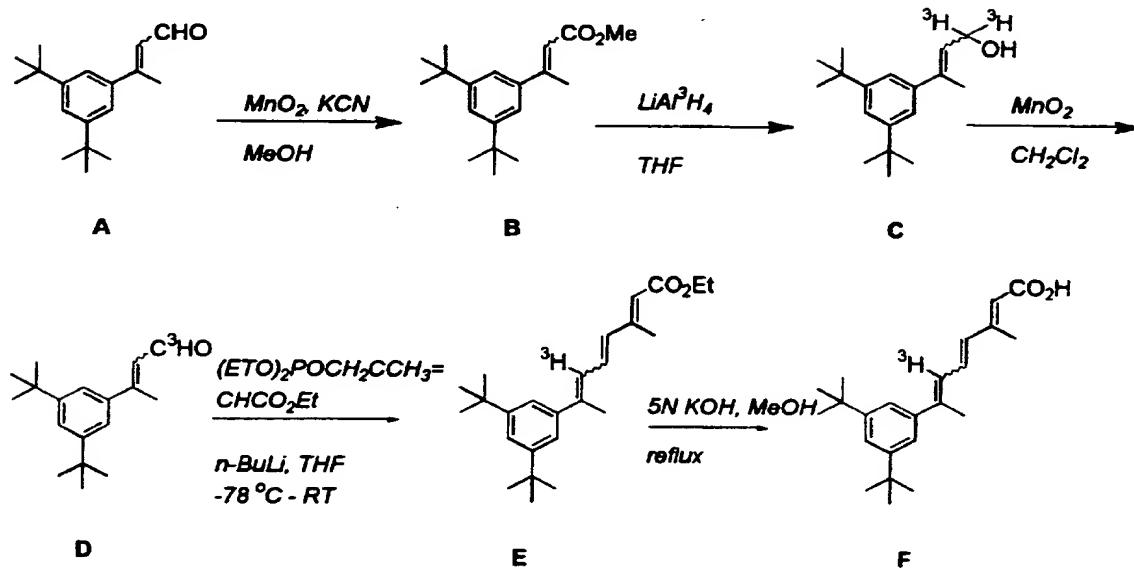
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General Method 3

5 Radiolabeled homologs of the compounds of the present invention may be prepared by the General Method 4 shown below. Specifically, compound A is oxidized to methyl ester B which is then reduced with a tritium hydride source, such as LiAl³H₄, to alcohol C. Oxidation of the tritiated alcohol C to aldehyde D, followed by condensation with the ylide of triethylphosphonocrotonate gives the tritiated ester E. Ester E may then be saponified to give the final tritium labeled acid F in high yield with high (>20 Ci/mmol) specific activity.

10 This methodology is described in detail in Boehm et al., "Synthesis of High Specific Activity [³H]-9-cis-Retinoic Acid and Its Application for Identifying Retinoids with Unusual Binding Properties", 37 J. Med. Chem., 408-414 (1994), the disclosure of which is herein incorporated by reference.

General Method 4: Preparation of Radiolabeled Homologs



5 It will be understood by those skilled in the art that certain modifications can be made to the above-described methods that remain within the scope of the present invention. For example, the compounds of the present invention may also be produced in the form of the corresponding amides or esters, appropriate phosphoranes may be substituted for phosphonates, and reducing agents other than LiAl³H₄ may be utilized in the syntheses outlined
10 above. Furthermore, it will be understood that other isotopic labels may be employed, including ¹³CH₃, ¹³CD₃ and the like. These labels may be introduced using the appropriate labeled MeLi (e.g., ¹³CH₃Li) as shown in Scheme 1. Thereafter, the remainder of the synthesis is as shown in Scheme 1.

In another aspect, the retinoid compounds, their pharmaceutically acceptable salts or hydrolyzable esters of the present invention are combined in a mixture with a pharmaceutically acceptable carrier to provide pharmaceutical compositions useful for treating the biological conditions or disorders noted herein in mammalian species, and more preferably, in human patients. The particular carrier employed in these pharmaceutical compositions may

take a wide variety of forms depending upon the type of administration desired, e.g., intravenous, oral, topical, suppository or parenteral.

In preparing the compositions in oral liquid dosage forms (e.g., suspensions, elixirs and solutions), typical pharmaceutical media, such as water, glycols, oils, alcohols, flavoring agents, preservatives, coloring agents and the like can be employed. Similarly, when preparing oral solid dosage forms (e.g., powders, tablets and capsules), carriers such as starches, sugars, diluents, granulating agents, lubricants, binders, disintegrating agents and the like will be employed. Due to their ease of administration, tablets and capsules represent the most advantageous oral dosage form for the pharmaceutical compositions of the present invention.

For parenteral administration, the carrier will typically comprise sterile water, although other ingredients that aid in solubility or serve as preservatives, may also be included. Furthermore, injectable suspensions may also be prepared, in which case appropriate liquid carriers, suspending agents and the like will be employed.

For topical administration, the compounds of the present invention may be formulated using bland, moisturizing bases, such as ointments or creams. Examples of suitable ointment bases are petrolatum, petrolatum plus volatile silicones, lanolin, and water in oil emulsions such as Eucerin™ (Beiersdorf). Examples of suitable cream bases are Nivea™ Cream (Beiersdorf), cold cream (USP), Purpose Cream™ (Johnson & Johnson) hydrophilic ointment (USP), and Lubriderm™ (Warner-Lambert).

The pharmaceutical compositions and compounds of the present invention will generally be administered in the form of a dosage unit (e.g., tablet, capsule etc.) at from about 1 µg/kg of body weight to about 500 mg/kg of body weight, more preferably from about 10 µg/kg to about 250 mg/kg, and most preferably from about 20 µg/kg to about 100 mg/kg. As recognized by those skilled in the art, the particular quantity of pharmaceutical composition according to the present invention administered to a patient will depend upon a number of factors, including, without limitation, the biological activity desired, the condition of the patient, and tolerance for the drug.

The compounds of this invention also have utility when labeled and used in assays to determine the presence of RARs and RXRs. They are particularly useful due to their ability to

selectively bind to members of the RAR and RXR subfamilies and can therefore be used to determine the presence of RAR and RXR isoforms in the presence of other retinoid receptors or related intracellular receptors.

Thus, the present invention also provides isotopically labeled and radiolabeled compounds, and methods for their synthesis, including deuterium, tritium, carbon 13 and carbon 14 labeled homologs. In a preferred aspect, the labeled compounds of the present invention display a specific activity of at least 15 Ci/mmol, and more preferably at least 25 Ci/mmol, and most preferably, at least 40 Ci/mmol. Such labeled compounds will also prove useful in the identification of compound metabolites in animal metabolism studies.

Due to the selective specificity of the compounds of this invention for retinoid receptors, these compounds can also be used to purify samples of RARs and RXRs *in vitro*. Such purification can be carried out by mixing samples containing retinoid receptors with one of more of the compounds of the present invention, so that the compound (ligand) binds to the receptor, and then separating out the bound ligand/receptor combination by separation techniques which are known to those of skill in the art. These techniques include column separation, filtration, centrifugation, tagging and physical separation, and antibody complexing, among others.

The compounds of the present invention also include racemate, individual stereoisomers and mixtures thereof. These isomers are then isolated by standard resolution techniques, including fractional crystallization and chiral column chromatography.

The compounds and pharmaceutical compositions of the present invention can advantageously be used in the treatment of the diseases and conditions described herein. In this regard, the compounds and compositions will prove particularly useful in the treatment of skin-related diseases and conditions, such as acne, psoriasis, and photo damage, cancerous and precancerous conditions, diseases of the eye, cardiovascular diseases, inflammatory and neurodegenerative diseases, diseases associated with human papilloma virus, improper pituitary function, modulation of apoptosis, diseases of the immune system, wound healing and restoration of hair growth.

Furthermore, the compounds and pharmaceutical compositions of the present invention possess a number of advantages over previously identified retinoid compounds. For

example, the compounds are extremely potent activators of RARs and RXRs as demonstrated in the co-transfection assay further described herein, preferably displaying 50% maximal activation (i.e., EC₅₀) of one or more of the retinoid receptors at a concentration of less than 100 nM, more preferably at a concentration of less than 50 nM, more preferably yet 5 at a concentration of less than 20 nM, and most preferably at a concentration of less than 10 nM. Also, the RAR and RXR selective compounds of the present invention preferentially activate one subfamily of retinoid receptors at a potency level at least 2 times greater, preferably at least 5 times greater, more preferably at least 10 times greater, and most preferably at a potency level at least 100 times greater than the other subfamily of retinoid receptors. In 10 addition, the compounds of the present invention also are easier to synthesize, provide greater stability and bioavailability, and appear to be less teratogenic in comparison to all-*trans* retinoic acid and 9-*cis* retinoic acid, known RAR and RXR active compounds, respectively.

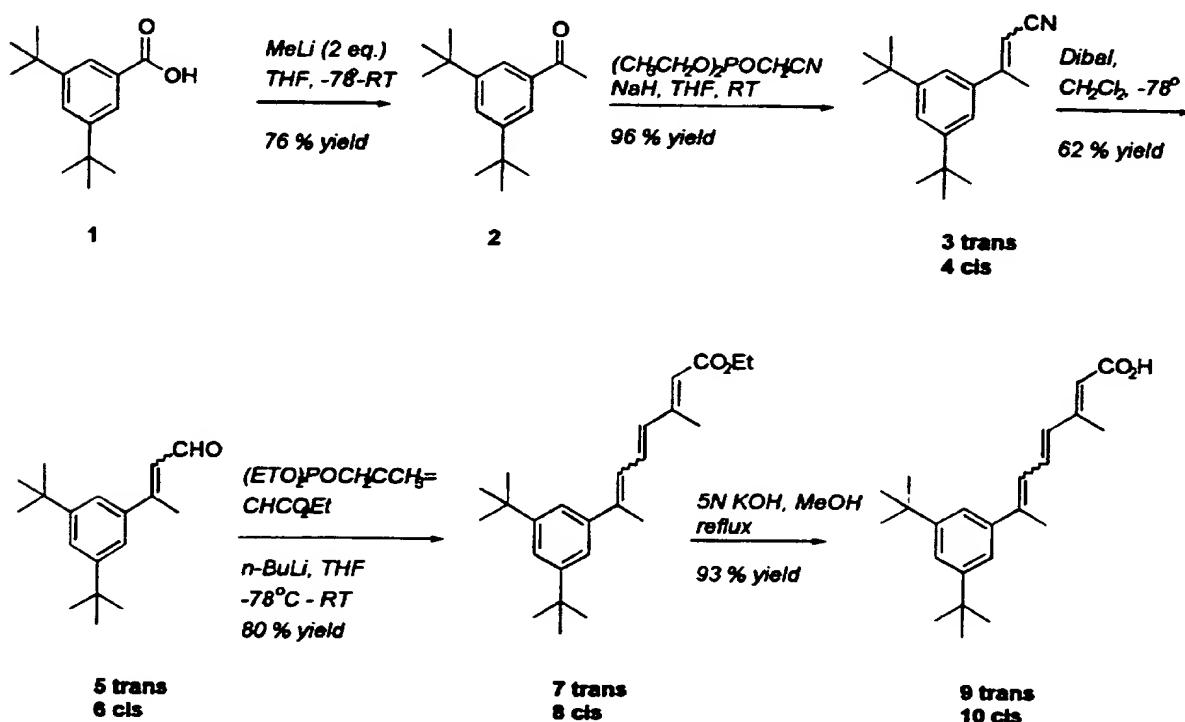
The invention will be further illustrated by reference to the following non-limiting
15 Examples.

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EXAMPLES 1-2

(*2E, 4E, 6E*)-7-(3,5-Di-t-butylphenyl)-3-methylocta-2,4,6-trienoic acid (**9**) and (*2E, 4E, 6Z*)-7-(3,5-di-t-butylphenyl)-3-methylocta-2,4,6-trienoic acid (**10**), prepared according to Scheme 1 illustrated and described below.

5

Scheme 1

- 10 **3,5-Di-t-butylacetophenone (2).** To 20 g (85.5 mmol) of 3,5-di-tertbutylbenzoic acid **1** in 100 mL of dry THF at -78°C was added 94.0 mL (188.0 mmol) of a 2 N ether solution of MeLi. The reaction mixture was slowly warmed to room temperature and stirred for an additional 30 min., then poured into saturated aqueous NH₄Cl (200 mL). The organic product was extracted with hexanes (2 X 100 mL) dried (MgSO₄), filtered, concentrated and purified by chromatography (SiO₂, 2 EtOAc-hexanes) to give 15 g (64.7 mmol) of ketone **2**
- 15

(75.7% yield). TLC (5% EtOAc-95% hexanes) R_f 0.8; ¹H-NMR (CDCl₃) δ 1.39 (s, 18H, 6(CH₃)), 2.61 (s, 3H, CH₃), 7.64 (t, J = 1 Hz, 1H, Ar-H), 7.80 (d, J = 1 Hz, 2H, Ar-H).

3-(3,5-Di-t-butylphenyl)but-2-enitrile (3) (trans) and (4) (cis). To 2.43 g (13.7 mmol) of diethylcyanomethyl phosphonate in 10 mL of dry THF was added 440 mg (10.96 mmol) of NaH (60% in mineral oil). The reaction was stirred for 30 min. followed by addition of 1.59 g (6.85 mmol) of ketone 2 in 5 mL of dry THF. After stirring for 12 h, the mixture was quenched with saturated aqueous NH₄Cl (50 mL) and the products were extracted with ether (2 X 50 mL). The ether extracts were washed (water then brine), dried (MgSO₄), filtered, concentrated and purified by preparative TLC (SiO₂, 2.5 % EtOAc-hexanes) to give 1.1 g (4.4 mmol) of the *trans* isomer 3 and 104 mg (0.4 mmol) of the *cis* isomer 4 (70% combined yield). *Trans* isomer 3: TLC (5% EtOAc-95% hexanes) R_f 0.9; ¹H-NMR (CDCl₃) δ 1.32 (s, 18H, 6(CH₃)), 2.49 (s, 3H, CH₃), 5.59 (s, 1H, =CH), 7.25 (d, J = 1 Hz, 2H, Ar-H), 7.50 (d, J = 1 Hz, 1H, Ar-H). *Cis* isomer 4: TLC (5% EtOAc-95% hexanes) R_f 0.8; ¹H-NMR (CDCl₃) δ 1.42 (s, 18H, 6(CH₃)), 2.31 (s, 3H, CH₃), 5.34 (s, 1H, =CH), 7.39 (d, J = 1 Hz, 2H, Ar-H), 7.49 (d, J = 1 Hz, 1H, Ar-H).

3-(3,5-Di-t-butylphenyl)but-2-enal (5) (trans isomer). To 736 mg (2.89 mmol) of 3 in 5 mL of CH₂Cl₂ at -78 °C was added 2.31 mL (3.47 mmol) of a 1.5 M solution of DIBAL in toluene. After stirring for 15 min. at -78 °C, the reaction mixture was quenched with 10 mL of a saturated aqueous solution of Rochelle salt. The product was extracted with ether (2 X 20 mL), washed (water, then brine), dried (MgSO₄), filtered, concentrated and purified by chromatography (SiO₂, 3 % EtOAc-hexanes) to give 462.3 mg (1.80 mmol) of 5 (62 % yield); TLC (10% EtOAc-90% hexanes) R_f 0.5; ¹H-NMR (CDCl₃) δ 1.34 (s, 18H, 6(CH₃)), 2.59 (s, 3H, CH₃), 6.50 (d, J = 8.0 Hz, 1H, =CH), 7.39 (d, J = 1 Hz, 2H, Ar-H), 7.51 (d, J = 1 Hz, 1H, Ar-H), 10.18 (d, J = 8.0 Hz, 1H, CHO).

3-(3,5-Di-t-butylphenyl)but-2-enal (6) (cis isomer). The *cis* isomer 6 was prepared from the corresponding *cis* isomer 4 using the same method as described for 5: TLC (10% EtOAc-

90% hexanes) R_f 0.55; $^1\text{H-NMR}$ (CDCl_3) δ 1.34 (s, 18H, 6(CH_3)), 2.34 (s, 3H, CH_3), 6.12 (d, J = 8.0 Hz, 1H, =CH), 7.10 (d, J = 1 Hz, 2H, Ar-H), 7.46 (t, J = 1 Hz, 1H, Ar-H), 9.45 (d, J = 8.0 Hz, 1H, CHO).

5 Ethyl (2E, 4E, 6E)-7-(3,5-di-t-butylphenyl)-3-methylocta-2,4,6-trienoate (7). To 790 mg (3.0 mmol) of triethyl-3-methyl-4-phosphonocrotonate in 8 mL of dry THF at -78 °C was added 1.2 mL of a 2.5 M nBuLi solution in hexanes. After stirring for 15 min., the solution containing the ylide of triethylphosphonocrotonate was added to 258 mg (1.0 mmol) of the *trans* isomer 5 in 8 mL of dry THF at -78 °C. The reaction mixture was warmed to RT, 10 quenched with saturated aqueous NH_4Cl (20 mL) and the products were extracted with ether (2 X 50 mL). The ether extracts were washed (water, then brine), dried (MgSO_4), filtered, concentrated and purified by column chromatography (SiO_2 , 5 % EtOAc-hexanes) to give 294 mg (0.8 mmol) of the *E,E,E*-isomer of 7 (49% yield): TLC (5% EtOAc-95% hexanes) R_f 0.78; $^1\text{H-NMR}$ (CDCl_3) δ 1.30 (t, J = 7.7 Hz, 3H, CH_2CH_3), 1.34 (s, 18H, 6(CH_3)), 2.28, (s, 3H, CH_3), 2.39 (s, 3H, CH_3), 4.17 (m, 2H, CH_2CH_3), 5.82 (s, 1H, =CH), 6.40 (d, J = 15 Hz, 1H, =CH), 6.54 (d, J = 15 Hz, 1H, =CH), 7.04 (m, 1H, =CH), 15 7.21 (d, J = 1 Hz, 2H, Ar-H), 7.39 (d, J = 1 Hz, 1H, Ar-H).

20 Ethyl (2E, 4E, 6Z)-7-(3,5-di-t-butylphenyl)-3-methylocta-2,4,6-trienoate (8). The *2E, 4E, 6Z* isomer 8 was prepared in the same manner as the *2E, 4E, 6E*-isomer 7, except that 6 was used instead of the 5: TLC (5% EtOAc-95% hexanes) R_f 0.82; $^1\text{H-NMR}$ (CDCl_3) δ 1.27 (t, J = 7.7 Hz, 3H, CH_2CH_3), 1.34 (s, 18H, 6(CH_3)), 2.17, (s, 3H, CH_3), 2.22 (s, 3H, CH_3), 4.15 (m, 2H, CH_2CH_3), 5.74 (s, 1H, =CH), 6.26 (dd, J = 8 Hz, 2H, =CH), 6.80 (m, 1H, =CH), 7.10 (d, J = 1 Hz, 2H, Ar-H), 7.37 (t, J = 1 Hz, 1H, Ar-H).

25 (2E, 4E, 6E)-7-(3,5-Di-t-butylphenyl)-3-methylocta-2,4,6-trienoic acid (9). To 180 mg (0.49 mmol) of the *2E, 4E, 6E*-ethyl ester 7 in 5 mL of MeOH was added 1 mL of 5N aqueous NaOH solution. The mixture was heated to reflux for 10 min., cooled to RT, acidified with 20% aqueous HCl solution and the organics extracted with ether (2 x 10 mL). The 30 ether layer was washed (H_2O , brine), dried (MgSO_4), filtered and concentrated. Purification

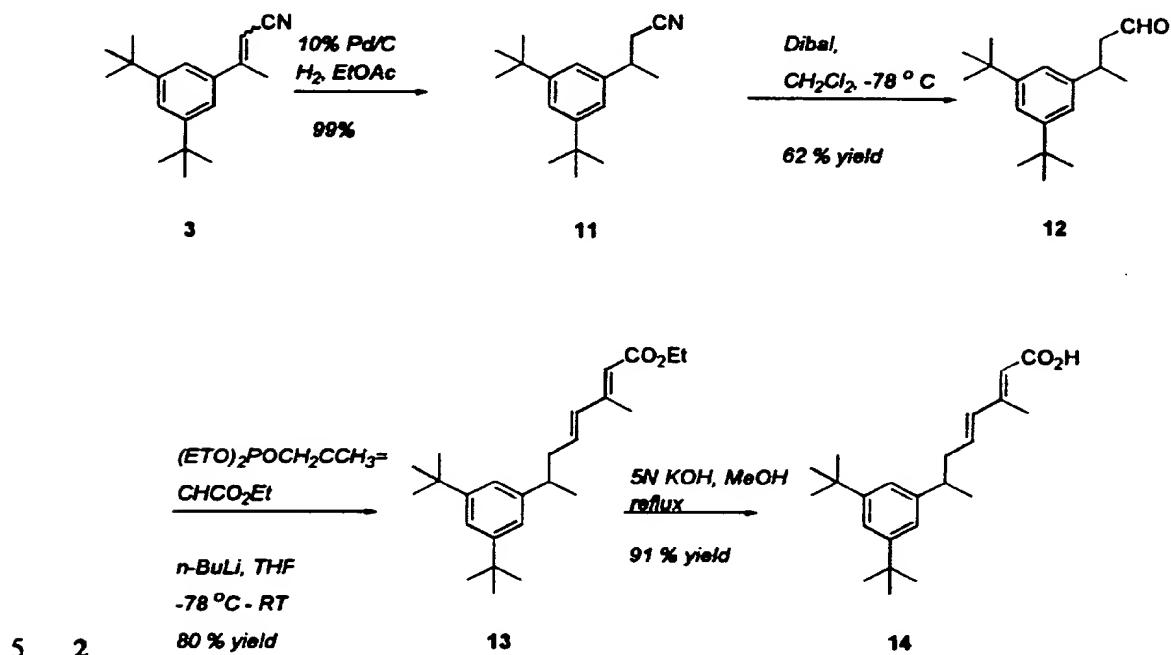
by column chromatography (SiO₂, 20% EtOAc-hexanes) gave 157 mg (0.46 mmol) of the 2E,4E,6E-isomer **9** (93% yield): TLC (10% MeOH-90% CHCl₃) R_f 0.6; mp 196-198 °C; ¹H-NMR (CDCl₃) δ 1.35 (s, 18H, 6(CH₃)), 2.29, (s, 3H, CH₃), 2.41 (s, 3H, CH₃), 5.84 (s, 1H, =CH), 6.41 (d, J = 15 Hz, 1H, =CH), 6.54 (d, J = 15 Hz, 1H, =CH), 7.08 (m, 1H, =CH), 7.32 (d, J = 1Hz, 2H, Ar-H), 7.39 (t, J = 1 Hz, 1H, Ar-H).

(*2E, 4E, 6Z*)-7-(3,5-Di-t-butylphenyl)-3-methylocta-2,4,6-trienoic acid (**10**). The 2*E*, 4*E*, 6*Z*-isomer **10** was prepared in the same manner as **9** except that **8** was used instead of **7**: TLC (10% MeOH-90% CHCl₃) R_f 0.57; mp 221-222 °C; ¹H-NMR (CDCl₃) δ 1.34 (s, 18H, 6(CH₃)), 2.18, (s, 3H, CH₃), 2.23 (s, 3H, CH₃), 5.77 (s, 1H, =CH), 6.27 (m, 2H, =CH), 6.84 (m, 1H, =CH), 7.10 (d, J = 1 Hz, 2H, Ar-H), 7.37 (t, J = 1 Hz, 1H, Ar-H).

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EXAMPLE 3

(2E, 4E)-7-(3,5-Di-t-butylphenyl)-3-methylocta-2,4-dienoic acid (14), prepared according to Scheme 2 illustrated and described below.

Scheme 2

3-(3,5-Di-*t*-butylphenyl)butanenitrile (11). To 300 mg (1.18 mmol) of 3-(3,5-di-*t*-butylphenyl)-but-2-enenitrile 3 in 5 mL of EtOAc was added 20 mg (catalytic quantity) of 10% Pd/C. The mixture was placed under vacuum for 0.5 min., followed by addition of H₂ gas.

- 10 After stirring for 2 h under H₂ gas, the solution was filtered through celite, the celite washed with EtOAc (3 x 5 mL) and the solution concentrated to give 300 mg (1.17 mmol) of the reduced product 11 (99% yield): TLC (5% EtOAc-95% hexanes) R_f 0.8; ¹H-NMR (CDCl₃) δ 1.34 (s, 18H, 6(CH₃)), 1.50 (d, 3H, CH₃), 2.60 (m, 2H, CH₂), 3.15 (m, 1H, CH), 7.05 (d, J = 1 Hz, 2H, Ar-H), 7.33 (t, J = 1 Hz, 1H, Ar-H).

15

3-(3,5-Di-*t*-butylphenyl)butanal (12). To 300 mg (1.17 mmol) of the nitrile 11 in 5 mL of CH₂Cl₂ at -78 °C was added 0.93 mL (1.4 mmol) of a 1.5 M DIBAL solution in toluene. The reaction mixture was stirred for 5 min., quenched with saturated aqueous NH₄Cl (10

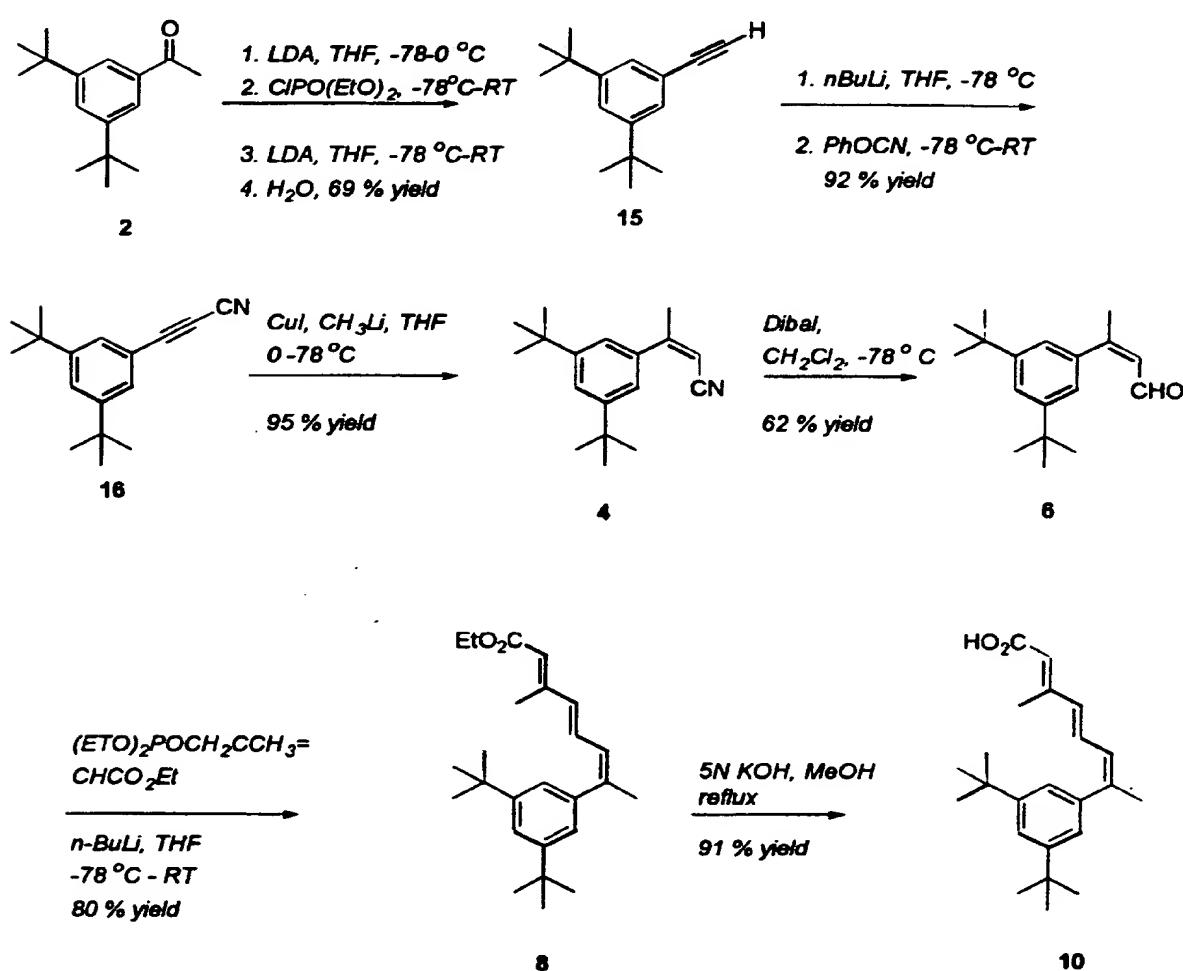
mL), extracted with ether (2 x 20 mL), dried (MgSO_4), filtered, concentrated and purified by chromatography (SiO_2 , 5% EtOAc-hexanes) to give 188 mg (0.72 mmol) of the desired aldehyde 12 (62% yield): TLC (5% EtOAc-95% hexanes) R_f 0.8; $^1\text{H-NMR}$ (CDCl_3) δ 1.34 (s, 18H, 6(CH₃)), 1.35 (d, 3H, CH₃), 2.70 (m, 2H, CH₂), 3.36 (m, 1H, CH), 7.06 (d, J = 1 Hz, 2H, Ar-H), 7.28 (t, J = 1 Hz, 1H, Ar-H), 9.70 (t, 1H, CHO).

Ethyl (2E, 4E)-7-(3,5-di-t-butylphenyl)-3-methylocta-2,4-dienoate (13). Compound 13 was prepared from 12 in a similar manner as described for compound 7: TLC (5% EtOAc-95% hexanes) R_f 0.88; $^1\text{H-NMR}$ (CDCl_3) δ 1.30 (m, 6H, $\text{CH}_2\text{CH}_3+\text{CH}_3$), 1.34 (s, 18H, 6(CH₃)), 2.20, (s, 3H, CH₃), 2.40 (m, 2H, CH₂), 2.85 (m, 1H, CH), 4.14 (m, 2H, CH_2CH_3), 5.62 (s, 1H, =CH), 6.03 (m, 2H, =CH), 7.00 (d, J = 1 Hz, 2H, Ar-H), 7.24 (t, J = 1 Hz, 1H, Ar-H).

(2E, 4E)-7-(3,5-Di-t-butylphenyl)-3-methylocta-2,4-dienoic acid (14). Compound 14 was prepared from 13 in a similar manner as described for compound 9: TLC (10% MeOH-90% CHCl_3) R_f 0.5; mp 127-128 °C; $^1\text{H-NMR}$ (CDCl_3) δ 1.28 (d, J = 8 Hz, 3H, CH₃), 1.32 (s, 18H, 6(CH₃)), 2.23, (s, 3H, CH₃), 2.46 (m, 2H, CH₂), 2.86 (m, 1H, CH), 5.69 (s, 1H, =CH), 6.10 (m, 2H, =CH), 7.01 (d, J = 1 Hz, 2H, Ar-H), 7.26 (t, J = 1 Hz, 1H, Ar-H).

EXAMPLE 4

(2E, 4E, 6Z)-7-(3,5-Di-t-butylphenyl)-3-methylocta-2,4,6-trienoic acid (10), alternative preparation of Compound (10) according to Scheme 3 illustrated and described below.

5 **Scheme 3**

1,3-Di-t-butyl-5-ethynylbenzene (15). To 9.86 mL (24.7 mmol) of a 2.5 N LDA solution in 10 THF at -78 °C was added 4.75 g (20.47 mmol) of ketone 2 (from EXAMPLES 1-2) in 3 mL of dry THF. After stirring for 30 min., 2.96 mL (20.47 mmol) of diethylphosphonylchloride was added and the reaction mixture was warmed to RT for 1 h. The reaction mixture was

again cooled to -78 °C followed by addition of 19.7 mL (49.2 mmol) of a 2.5 N LDA solution in THF and warmed to RT. Water (50 mL) was added and the mixture was extracted with hexanes (2 x 40 mL). The combined organic extract was washed (water, then brine), dried (MgSO_4), filtered, concentrated and purified by column chromatography (SiO_2 , 2% EtOAc-hexanes) to give 3.02 g (14.1 mmol) of compound 15 (69% yield): TLC (hexanes) R_f 0.9; $^1\text{H-NMR}$ (CDCl_3) δ 1.31 (s, 18H, 6(CH_3)), 3.02 (s, 1H, $\text{C}\equiv\text{CH}$), 7.35 (d, J = 1 Hz, 2H, Ar-H), 7.42 (t, J = 1 Hz, 1H, Ar-H).

3-(3,5-Di-t-butylphenyl)propynitrile (16). To 1.67 g (7.80 mmol) of propyne 15 in 25 mL of dry THF at -78 °C was added 3.75 mL (9.38 mmol) of nBuLi (2.5 M in hexanes). After stirring for 15 min., 1.12 g (9.41 mmol) of PhOCN was added and the reaction mixture was warmed to RT. The mixture was quenched by addition of 25 mL of aqueous 6N NaOH, extracted (EtOAc, 2 x 25 mL), washed (water, then brine), dried (MgSO_4), filtered, concentrated and purified by column chromatography (SiO_2 , 5% EtOAc-hexane) to give 1.71 g (7.16 mmol) of 16 as a white solid (92% yield): TLC (hexanes) R_f 0.4; $^1\text{H-NMR}$ (CDCl_3) δ 1.32 (s, 18H, 6(CH_3)), 7.45 (d, J = 1 Hz, 2H, Ar-H), 7.58 (t, J = 1 Hz, 1H, Ar-H).

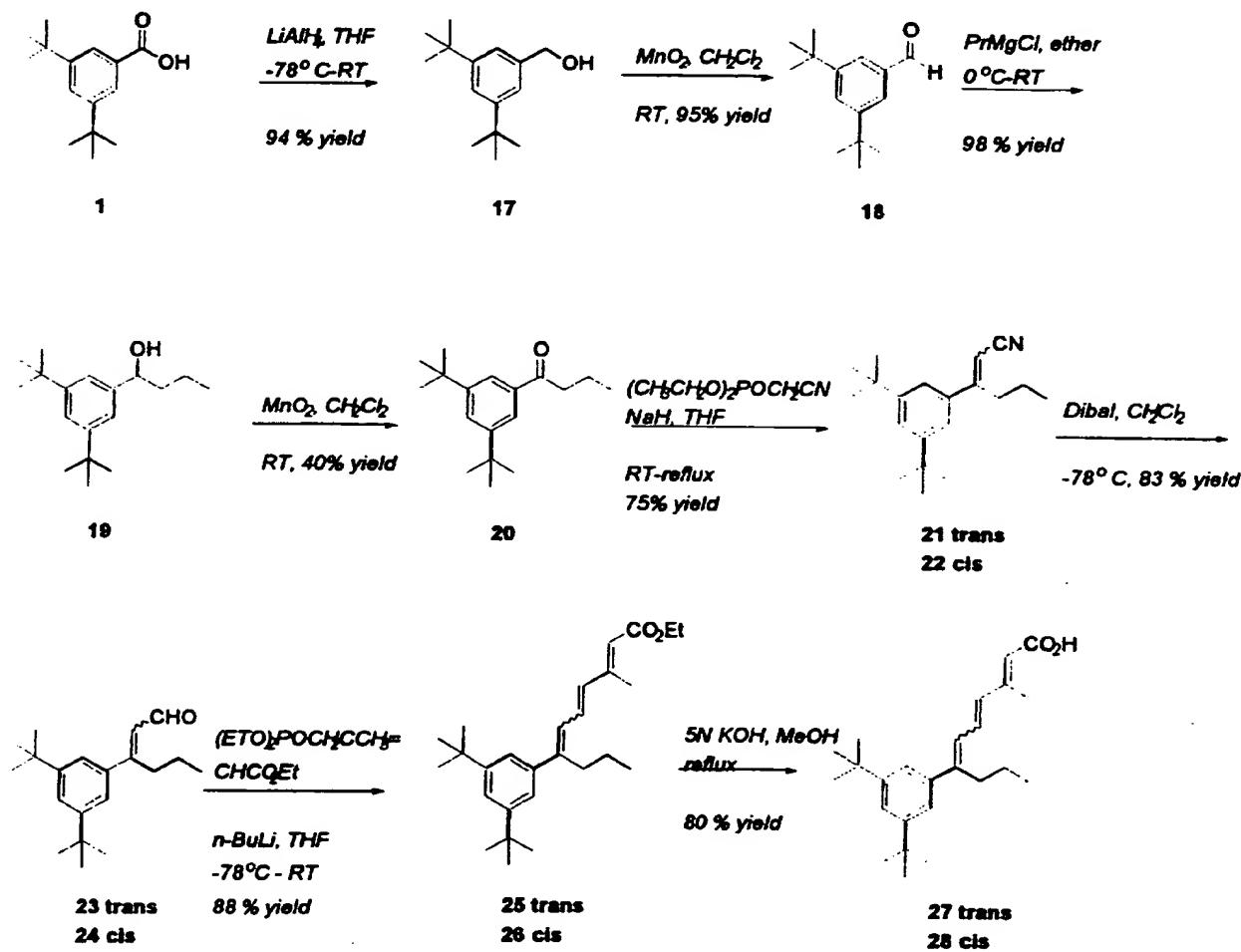
3-(3,5-Di-t-butylphenyl)but-2-enitrile (4) (cis isomer). A 250 mL flame dried round bottom flask was charged with 3.27 g (17.17 mmol) of anhydrous copper iodide and 25 mL of dry THF. The mixture was cooled to 0° C, followed by slow addition of 24.5 mL (34.3 mmol) of MeLi (1.4 M in ether). After the solution became clear and colorless, it was cooled to -78 °C and a solution of 1.71 g (7.16 mmol) of 16 in 10 mL of dry THF was added dropwise. The mixture was stirred at -78 °C for 45 min. and quenched with 40 mL of a 1:1 mixture of MeOH and saturated aqueous NH_4Cl solution. The product was extracted with EtOAc (2 x 40 mL), washed (2% NaOH followed by sat. NH_4Cl , then water, then brine), dried (MgSO_4), filtered, concentrated and purified through a short silica gel pad to give 1.64 g (6.80 mmol) of the *cis* isomer 4 (95% yield): TLC (5% EtOAc-95% hexanes) R_f 0.8; $^1\text{H-NMR}$ (CDCl_3) δ 1.42 (s, 18H, 6(CH_3)), 2.31 (s, 3H, CH_3), 5.34 (s, 1H, $=\text{CH}$), 7.39 (d, J = 1 Hz, 2H, Ar-H), 7.49 (d, J = 1 Hz, 1H, Ar-H).

The remaining synthesis of Compounds 6, 8 and final product 10 were performed as described in EXAMPLES 1-2 above.

EXAMPLES 5-6

- 5 **(2E, 4E, 6E)-7-(3,5-Di-t-butylphenyl)-3-methyldeca-2,4,6-trienoic acid (27) and (2E, 4E, 6Z)-7-(3,5-di-t-butylphenyl)-3-methyldeca-2,4,6-trienoic acid (28), prepared according to Scheme 4 illustrated and described below.**

Scheme 4



- 10 **3,5-Di-t-butylbenzyl alcohol (17).** To 10.0 g (42.7 mmol) of acid 1 in 20 mL of dry THF at 0 °C was added 42.7 mL (42.7 mmol) of LAH (1.0 M in THF). The reaction mixture was warmed to 50 °C and stirred for 15 min. After cooling the reaction to RT, 20% aqueous

HCl was added until the solution turned clear. The solution was extracted with EtOAc (2 x 50 mL), and the combined EtOAc extract was washed (water, then brine), dried (MgSO₄), filtered and concentrated to give 8.8 g (40.0 mmol) of 17 (94 % yield). The product was directly used in the next step. TLC (20% EtOAc-80% hexanes) R_f 0.4; ¹H-NMR (CDCl₃) δ 5 1.33 (s, 18H, 6(CH₃)), 4.68 (s, 2H, CH₂) 7.22 (d, J = 1 Hz, 2H, Ar-H), 7.38 (t, J = 1 Hz, 1H, Ar-H).

3,5-Di-t-butylbenzaldehyde (18). To 8.8 g (40.0 mmol) of alcohol 17 in 20 mL of CH₂Cl₂ was added 50.0 g (575 mmol) of MnO₂. The reaction mixture was vigorously stirred for 8 h and filtered through a pad consisting of a top layer of celite and a bottom layer of silica. The filter was washed repeatedly with 50 mL aliquots of CH₂Cl₂ until no more product eluted from the filter. The resulting compound 18 (8.3 g (38.1 mmol)) was determined to be pure by ¹H-NMR and was used directly in the next step (95 % yield): TLC (20% EtOAc-80% hexanes) R_f 0.6; ¹H-NMR (CDCl₃) δ 1.34 (s, 18H, 6(CH₃)), 7.72 (m, 3H, Ar-H), 10.01 (s, 1H, CHO).

1-(3,5-Di-t-butylphenyl)butan-1-ol (19). To 2.0 g (9.17 mmol) of aldehyde 18 in 10 mL of dry ether at 0 °C was added 5.5 mL (11.0 mmol) of propylmagnesium chloride (2.0 M in ether). The reaction mixture was warmed to RT and quenched with water (50 mL). 20 extracted (ether, 2 x 50 mL), washed (water then brine), dried (MgSO₄), filtered and concentrated to give 2.37 g (9.05 mmol) of alcohol 19 (pure by ¹H-NMR) which was directly used in the next step (98% yield): TLC (20% EtOAc-80% hexanes) R_f 0.5; ¹H-NMR (CDCl₃) δ 0.96 (t, 3H, CH₂CH₃), 1.34 (s, 18H, 6(CH₃)), 1.66 (m, 2H, CH₂), 1.84 (m, 1H, CH), 4.66 (m, 1H, CHOH), 7.18 (d, J = 1 Hz, 2H, Ar-H), 7.34 (t, J = 1 Hz, 1H, Ar-H).

25 1-(3,5-Di-t-butylphenyl)butan-1-one (20). To 2.37 g (9.05 mmol) of alcohol 19 in 18 mL of CH₂Cl₂ was added 7.86 g (90.45 mmol) of MnO₂. The reaction mixture was stirred for 3 h, then filtered (celite over silica gel pad) and the pad was washed repeatedly with 20 mL aliquots of CH₂Cl₂. After concentration, ketone 20 was purified by chromatography (SiO₂,

3% EtOAc-hexanes) to give 941 mg (3.62 mmol) of **20** (40% yield): TLC (10% EtOAc-90% hexanes) R_f 0.7; $^1\text{H-NMR}$ (CDCl_3) δ 1.01 (t, 3H, CH_2CH_3), 1.34 (s, 18H, 6(CH_3)), 1.78 (m, 2H, CH_2CH_3), 2.96 (t, J = 7 Hz, 2H, $\text{CH}_2\text{CH}_2\text{CH}_3$), 7.63 (t, J = 1 Hz, 1H, Ar-H), 7.83 (d, J = 1 Hz, 2H, Ar-H).

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3-(3,5-Di-t-butylphenyl)hex-2-enitrile (21) (*trans*) and (22) (*cis*). To 661 mg (3.73 mmol) of diethylcyanomethylphosphonate in 5 mL of dry THF was added 127 mg (3.17 mmol) of sodium hydride (60% dispersion in mineral oil). The mixture was stirred for 5 min., followed by addition of 484 mg (1.87 mmol) of ketone **20** in 2 mL of dry THF. The reaction was heated to reflux for 30 m, cooled to RT, quenched with saturated aqueous NH_4Cl (15 mL), extracted with ether (2 x 15 mL), washed (water then brine), dried (MgSO_4), filtered and concentrated. Purification by chromatography (preparative TLC, SiO_2 , 10% EtOAc-hexanes) gave 329 mg (1.16 mmol) of the *trans* isomer **21** and 70.5 mg (0.25 mmol) of the *cis* isomer **22** (75 % combined yield). *Trans* isomer **21**: TLC (10% EtOAc-90% hexanes) R_f 0.8; $^1\text{H-NMR}$ (CDCl_3) δ 0.97 (t, J = 7 Hz, 3H, CH_2CH_3), 1.33 (s, 18H 6(CH_3)), 1.54 (m, 2H, $\text{CH}_2\text{CH}_2\text{CH}_3$), 2.88 (t, J = 7 Hz, 2H, $\text{CH}_2\text{CH}_2\text{CH}_3$), 5.50 (s, 1H, =CH), 7.23 (d, J = 1 Hz, 2H, Ar-H), 7.50 (t, J = 1 Hz, 1H, Ar-H). *Cis* isomer **22**: TLC (10% EtOAc-90% hexanes) R_f 0.9; $^1\text{H-NMR}$ (CDCl_3) δ 0.93 (t, J = 7 Hz, 3H, CH_2CH_3), 1.34 (s, 18H 6(CH_3)), 1.48 (m, 2H, $\text{CH}_2\text{CH}_2\text{CH}_3$), 2.57 (t, J = 7 Hz, 2H, $\text{CH}_2\text{CH}_2\text{CH}_3$), 5.32 (s, 1H, =CH), 7.28 (d, J = 2 Hz, 2H, Ar-H), 7.45 (t, J = 2 Hz, 1H, Ar-H).

3-(3,5-Di-t-butylphenyl)hex-2-enal (23) (*trans*). To 166 mg (0.59 mmol) of nitrile **21** in 4 mL of CH_2Cl_2 at -78 °C was added 0.59 mL (0.88 mmol) of DIBAL (1.5 M in toluene). The reaction mixture was stirred for 10 m and quenched with 10 mL of a saturated aqueous solution of Rochelle salt. The product was extracted with ether (2 x 20 mL), washed (water then brine), dried (MgSO_4), filtered, concentrated and purified by chromatography (preparative TLC, SiO_2 , 3 % EtOAc-hexanes) to give 141 mg (0.49 mmol) of **23** as an oil (83 % yield): TLC (10% EtOAc-90% hexanes) R_f 0.7; $^1\text{H-NMR}$ (CDCl_3) δ 0.97 (t, J = 7 Hz, 3H, CH_2CH_3), 1.34 (s, 18H, 6(CH_3)), 1.57 (m, 2H, $\text{CH}_2\text{CH}_2\text{CH}_3$), 3.03 (t, J = 7 Hz,

2H, $\text{CH}_2\text{CH}_2\text{CH}_3$), 6.32 (d, $J = 8$ Hz, 1H, =CH), 7.33 (d, $J = 1$ Hz, 2H, Ar-H), 7.48 (t, $J = 1$ Hz, 1H, Ar-H), 10.15 (d, $J = 8$ Hz, 1H, CHO).

3-(3,5-Di-t-butylphenyl)hex-2-enal (24) (cis). Compound 24 was prepared in the same manner as 23 except that the *cis* isomer 22 was used instead of 21: TLC (10% EtOAc-90% hexanes) R_f 0.8; $^1\text{H-NMR}$ (CDCl_3) δ 0.94 (t, $J = 7$ Hz, 3H, CH_2CH_3), 1.34 (s, 9H, CH_3), 1.35 (s, 9H, CH_3), 1.51 (m, 2H, $\text{CH}_2\text{CH}_2\text{CH}_3$), 2.58 (t, $J = 7$ Hz, 2H, $\text{CH}_2\text{CH}_2\text{CH}_3$), 6.10 (d, $J = 8$ Hz, 1H, =CH), 7.04 (d, $J = 2$ Hz, 2H, Ar-H), 7.43 (t, $J = 2$ Hz, 1H, Ar-H), 9.42 (d, $J = 8$ Hz, 1H, CHO).

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Ethyl (2E, 4E, 6E)-7-(3,5-di-t-butylphenyl)-3-methyldeca-2,4,6-trienoate (25). To 391 mg (1.48 mmol) of triethyl-3-methyl-4-phosphonocrotonate in 5 mL of dry THF at -78 °C was added 0.59 mL (1.48 mmol) of a 2.5 M nBuLi solution in hexanes and 2.5 mL of DMPU. After stirring for 15 min., the solution containing the ylide of triethylphosphonocrotonate was added to 141 mg (0.49 mmol) 23 in 5 mL of dry THF at -78 °C. The reaction mixture was warmed to RT, quenched with saturated aqueous NH_4Cl (20 mL) and the products were extracted with ether (2 x 25 mL). The ether extracts were washed (water, then brine), dried (MgSO_4), filtered, concentrated and purified by column chromatography (SiO_2 , 5 % EtOAc-hexanes) to give 171 mg (0.43 mmol) of the all-*trans* isomer 25 (88% yield): TLC (5% EtOAc-95% hexanes) R_f 0.5; $^1\text{H-NMR}$ (CDCl_3) δ 0.94 (t, $J = 7$ Hz, 3H, CH_2CH_3), 1.29 (t, $J = 7$ Hz, 3H, CH_2CH_3), 1.34 (s, 18H, 6(CH_3)), 1.52 (m, 2H, $\text{CH}_2\text{CH}_2\text{CH}_3$), 2.39 (s, 3H, CH_3), 2.70 (t, $J = 7$ Hz, 2H, $\text{CH}_2\text{CH}_2\text{CH}_3$), 4.18 (m, 2H, CH_2CH_3), 5.80 (s, 1H, =CH), 6.42 (d, $J = 15$ Hz, 2H, =CH), 6.47 (d, $J = 15$ Hz, 1H, =CH), 7.03 (m, 1H, =CH), 7.27 (d, $J = 1$ Hz, 2H, Ar-H), 7.36 (t, $J = 1$ Hz, 1H, Ar-H).

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Ethyl (2E, 4E, 6E)-7-(3,5-di-t-butylphenyl)-3-methyldeca-2,4,6-trienoate (26). Compound 26 was prepared in the same manner as 25, except that the *cis* isomer 24 was used instead of 23: TLC (5% EtOAc-95% hexanes) R_f 0.5; $^1\text{H-NMR}$ (CDCl_3) δ 0.94 (t, $J = 7$ Hz, 3H, CH_2CH_3), 1.29 (t, $J = 7$ Hz, 3H, CH_2CH_3), 1.33 (s, 18H⁶(CH_3)), 1.44 (m, 2H,

$\text{CH}_2\text{CH}_2\text{CH}_3$), 2.15 (s, 3H, CH_3), 2.48 (t, $J = 7$ Hz, 2H, $\text{CH}_2\text{CH}_2\text{CH}_3$), 4.16 (m, 2H, - COCH_2CH_3), 5.73 (s, 1H, =CH), 6.22 (d, $J = 11$ Hz, 1H, =CH), 6.26 (d, $J = 11$ Hz, 1H, =CH), 6.74 (dd, $J = 11$ Hz, 1H, =CH), 7.26 (d, $J = 2$ Hz, 2H, Ar-H), 7.34 (t, $J = 2$ Hz, 1H, Ar-H).

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(2E, 4E, 6E)-7-(3,5-Di-t-butylphenyl)-3-methyldeca-2,4,6-trienoic acid (27). To 171 mg (0.44 mmol) of 25 in 5 mL of MeOH was added 1 mL of 5N aqueous NaOH solution. The mixture was heated to reflux for 10 min., cooled to RT, acidified with 20% aqueous HCl solution, and the organics extracted with ether (2 x 10 mL). The ether layer was washed 10 (water, then brine), dried (MgSO_4), filtered and concentrated. Purification by chromatography (preparative TLC, SiO_2 , 20% EtOAc-hexanes) gave 28 mg (0.08 mmol) of the all-*trans* isomer of 27 (80 % yield): TLC (10% MeOH-90% CHCl_3) R_f 0.8; mp 143-144 °C; ^1H -NMR (CDCl_3) δ 0.94 (t, $J = 7$ Hz, 3H, CH_2CH_3), 1.34 (s, 18H, 6(CH_3)), 1.52 (m, 2H, $\text{CH}_2\text{CH}_2\text{CH}_3$), 2.40 (s, 3H, CH_3), 2.71 (t, $J = 7$ Hz, 2H, $\text{CH}_2\text{CH}_2\text{CH}_3$), 5.84 (s, 1H, =CH), 6.41 (d, $J = 15$ Hz, 1H, =CH), 6.47 (d, $J = 15$ Hz, 1H, =CH), 7.08 (m, 1H, =CH), 15 7.26 (d, $J = 1$ Hz, 2H, Ar-H), 7.37 (t, $J = 1$ Hz, 1H, Ar-H).

(2E, 4E, 6Z)-7-(3,5-Di-t-butylphenyl)-3-methyldeca-2,4,6-trienoic acid (28). Compound 28 was prepared in the same manner as 27 except that the *cis* isomer 26 was used instead of 25: 20 TLC (10% MeOH-90% CHCl_3) R_f 0.8; mp 166-169 °C; ^1H -NMR (CDCl_3) δ 0.89 (t, $J = 7$ Hz, 3H, CH_2CH_3), 1.31 (s, 9H, CH_3), 1.32 (s, 9H, CH_3), 1.42 (m, 2H, $\text{CH}_2\text{CH}_2\text{CH}_3$), 2.15 (s, 3H, CH_3), 2.49 (t, $J = 7$ Hz, 2H, $\text{CH}_2\text{CH}_2\text{CH}_3$), 5.76 (s, 1H, =CH), 6.23 (d, $J = 11$ Hz, 1H, =CH), 6.28 (d, $J = 15$ Hz, 1H, =CH), 6.78 (dd, $J = 15$ Hz, 1H, =CH), 7.04 (s, 2H, Ar-H), 7.35 (s, 1H, Ar-H).

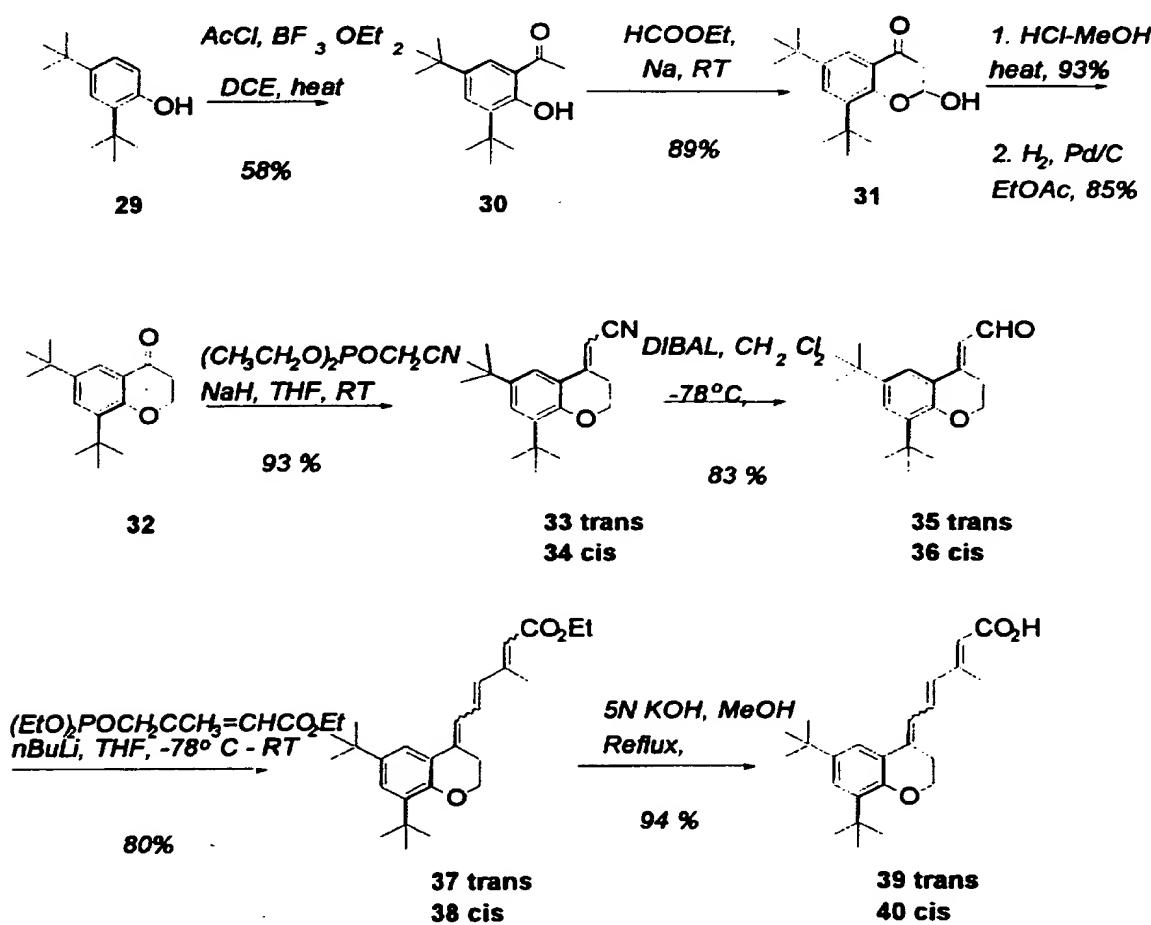
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EXAMPLES 7-8

(2E,4E,6E)-6-(6,8,-Di-t-butylchroman-4-ylidene)-3-methylhexa-2,4,6-trienoic acid (39) and **(2E,4E,6Z)-6-(6,8,-di-t-butylchroman-4-ylidene)-3-methylhexa-2,4,6-trienoic acid (40)**, prepared as illustrated and described in Scheme 5 below.

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Scheme 5

- 10 **3,5-Di-t-butyl-2-hydroxyacetophenone (30).** To 10 g (48.5 mmol) of 2,5-di-t-butylphenol 29 and 4.56 g (58.16 mmol) of acetyl chloride in 60 mL of dichloroethane was added 11.9 mL (97.0 mmol) of BF₃•OEt₂, and the mixture was heated to reflux for 10 min. The reac-

tion was cooled and poured into 1:1 ice - 20% aqueous HCl, stirred and extracted (2 x 100 mL of EtOAc). The organic extract was washed (water, then brine), dried (MgSO_4), concentrated and purified (SiO_2 chromatography, 5% EtOAc-hexanes) to give 7.0 g (28.22 mmol) of **30** as an oil (58% yield): TLC (5% EtOAc-hexane) R_f 0.1; $^1\text{H-NMR}$ (CDCl_3)
5 δ 1.32 (s, 9H, CH_3), 1.42 (s, 9H³(CH_3)), 2.68 (s, 3H, CH_3), 7.55 (m, 2H, Ar-H).

6,8-Di-t-butyl-2-hydroxychroman-4-one (31). To 1.8 g (78.26 mmol) of sodium metal in a flame dried 500 mL round bottom flask, was dropwise added 7.5 g (30.24 mmol) of phenol **30** in 120 mL of ethyl formate. Once addition was complete, the mixture was stirred at 40
10 $^\circ\text{C}$ for 1 h, then cooled to RT and poured into 1 N aqueous HCl. When the mixture became transparent, the organics were extracted (2 x 150 mL EtOAc), washed (water, then brine), dried (MgSO_4) and concentrated to give 7.4 g (26.81 mmol) of **31** as an oil (approximate yield, 89%). This product was used directly in the next step: TLC (20% EtOAc-hex) R_f 0.4; $^1\text{H-NMR}$ (CDCl_3) δ 1.30 (s, 9H 3(CH_3)), 1.42 (s, 9H, 3 CH_3), 2.87 (dd, $J = 16.0, 5.5$ Hz, 1H, CH_2), 3.01 (dd, $J = 16.0, 3.1$ Hz, 1H, CH_2), 5.87 (dd, $J = 5.5, 3.3$ Hz, 1H, CHOH),
15 7.58 (d, $J = 2.5$ Hz, 1H, Ar-H), 7.79 (d, $J = 2.5$ Hz, 1H, Ar-H).

4H-6,8-di-t-butyl-benzopyran-4-one (not shown). To 7.4 g (26.81 mmol) of **30** in 20 mL of MeOH was added 8 mL of aqueous 20% HCl and the mixture was heated at reflux for 20
20 min. After cooling to RT, water was added (30 mL) and the products were extracted (2 x 30 mL of EtOAc), washed (water, then brine), dried (MgSO_4), and concentrated to give ca 6.0 g (25.00 mmol) of 4H-6,8-di-t-butyl-benzopyran-4-one as an oil which after standing for several hours, solidified (approximate yield, 93 %). This product was directly used in the next step: TLC (5% EtOAc-hex) R_f 0.5; $^1\text{H-NMR}$ (CDCl_3) δ 1.37 (s, 9H, 3 CH_3), 1.49 (s, 9H, 3 CH_3), 6.35 (d, $J = 5.6$ Hz, 1H, $\text{CH}=\text{CH}$), 7.70 (d, $J = 2.4$ Hz, 1H, Ar-H), 7.91 (d, $J = 5.6$ Hz, 1H, $\text{CH}=\text{CH}$), 8.10 (d, $J = 2.4$ Hz, 1H, Ar-H).

6,8-Di-t-butyl-chroman-4-one (32). To 1.0 g (3.87 mmol) of 4H-6,8-di-t-butyl-benzopyran-4-one in 8 mL of EtOAc was added 200 mg of 10% Pd/C. The mixture was degassed, fol-

lowed by addition of H₂ gas and stirred under an H₂ gas atmosphere for 2 h at RT. After filtration (celite), the product was concentrated and purified (SiO₂ chromatography, 5% EtOAc-hexanes) to give 857 mg (3.29 mmol) of 32 as a white solid (85% yield): TLC (5% EtOAc-hex) R_f 0.7; ¹H-NMR (CDCl₃) δ 1.32 (s, 9H, CH₃), 1.42 (s, 9H, CH₃), 2.78 (t, J = 6.0 Hz, 2H, CH₂), 4.52 (t, J = 6.0 Hz, 2H, CH₂), 7.53 (d, J = 2.4 Hz, 1H, Ar-H), 7.81 (d, J = 2.4 Hz, 1H, Ar-H)

(6,8-Di-t-butylchroman-4-ylidene)acetonitrile (33) (*trans*) and (34) (*cis*). To 891 mg (5.03 mmol) of cyanomethyl phosphonate in 6 mL of dry THF was added 188 mg (4.69 mmol) of NaH (60% in oil) and the mixture was stirred for 20 min. To this solution was added 436 mg (1.80 mmol) of 32 in 2 mL of dry THF and the reaction was heated at reflux for 2 h. After cooling to RT, the reaction was quenched with saturated NH₄Cl (10 mL) and extracted (2 x 10 mL EtOAc), washed (water, then brine), dried (MgSO₄), concentrated and purified (SiO₂ chromatography, 5% EtOAc-hexane) to give 358 mg (1.32 mmol) of the *trans* isomer 33 and 95 mg (0.35 mmol) of the *cis* isomer 34 (93 % combined yield) *Trans* isomer 33: TLC (5% EtOAc-hex) R_f 0.5; ¹H-NMR (CDCl₃) δ 1.30 (s, 9H, 3CH₃), 1.36 (s, 9H, 3CH₃), 3.00 (t, J = 6.0 Hz, 2H, CH₂), 4.27 (t, J = 6.0 Hz, 2H, CH₂), 5.73 (s, 1H, =CH-CN), 7.35 (d, J = 2.4 Hz, 1H, Ar-H), 7.41 (d, J = 2.4 Hz, 1H, Ar-H); *Cis* isomer 34: TLC (5% EtOAc-hex), R_f 0.4; ¹H-NMR (CDCl₃) δ 1.34 (s, 9H, 3CH₃), 1.39 (s, 9H, 3CH₃), 2.76 (t, J = 6.0 Hz, 2H, CH₂), 4.29 (t, J = 6.0 Hz, 2H, CH₂), 5.11 (s, 1H, =CH-CN), 7.42 (d, J = 2 Hz, 1H, Ar-H), 8.28 (d, J = 2 Hz, 1H, Ar-H).

(6,8-Di-t-butylchroman-4-ylidene)acetaldehyde (35) (*trans*). To 100 mg (0.36 mmol) of nitrile 33 in 5 mL of hexane at -78 °C was added 0.35 mL (0.53 mmol) of a 1.5 M solution of DIBAL in toluene. The mixture was stirred for 5 min. followed by addition of 10 mL of sat. aqueous Rochelle salt and warmed to RT. The solution was extracted (2 x 10 mL of EtOAc), washed (water then brine), dried (MgSO₄), filtered and concentrated to give 86 mg (0.30 mmol) of the relatively pure aldehyde 35 (83 % yield): TLC (5% EtOAc-hex) R_f 0.5, ¹H-NMR (CDCl₃) δ 1.32 (s, 9H, 3CH₃), 1.41 (s, 9H, 3CH₃), 3.27 (t, J = 6.0 Hz, 2H, CH₂).

4.30 (t, $J = 6.0$ Hz, 2H, CH₂), 6.57 (d, $J = 8$ Hz, 1H, =CH-CHO), 7.41 (d, $J = 2.4$ Hz, 1H, Ar-H), 7.51 (d, $J = 2.4$ Hz, 1H, Ar-H), 10.14 (d, $J = 8$ Hz, 1H, CHO).

(6,8-Di-t-butylchroman-4-ylidene)acetaldehyde (36) (cis). Compound 36 was prepared in the same manner as 35, except that the *cis* isomer 34 was used instead of 33: TLC (5% EtOAc-hex) R_f 0.4, ¹H-NMR (CDCl₃) δ 1.30 (s, 9H, 3CH₃), 1.38 (s, 9H, 3CH₃), 2.80 (t, $J = 6.5$ Hz, 2H, CH₂), 4.42 (t, $J = 6.5$ Hz, 2H, CH₂), 5.95 (d, $J = 8$ Hz, 1H, =CH), 7.12 (d, $J = 2.4$ Hz, 1H, Ar-H), 7.44 (d, $J = 2.4$ Hz, 1H, Ar-H), 10.00 (d, $J = 8$ Hz, 1H, CHO).

10 Ethyl (2E,4E,6E)-6-(6,8-di-t-butylchroman-4-ylidene)-3-methylhexa-2,4,6-trienoate (37). To 238 mg (0.902 mmol) of triethyl-3-methyl-4-phosphonocrotonate in 3 mL of dry THF at -78 °C was added 0.36 mL (0.90 mmol) of a 2.5 M nBuLi solution in hexanes and 3 mL of DMPU. After stirring for 15 min., the solution containing the ylide of triethylphosphonocrotonate was transferred to 86 mg (0.30 mmol) of 35 in 4 mL of 1:1 THF-DMPU at -78 °C. The reaction mixture was warmed to RT, quenched with saturated aqueous NH₄Cl (20 mL), and the products were extracted with EtOAc (2 x 20 mL). The extracts were washed (water then brine), dried (MgSO₄), filtered, concentrated and purified by column chromatography (SiO₂, 5 % EtOAc-hexanes) to give 85.3 mg (0.22 mmol) of the 2E,4E,6E-isomer of 37 (73 % yield) and 5.6 mg (0.014 mmol) of the 2Z,4E,6E isomer (5 % yield). Compound 37: TLC (5% EtOAc-hex) R_f 0.5, ¹H-NMR (CDCl₃) δ 1.30 (t, $J = 7$ Hz, 3H, CH₂CH₃), 1.33 (s, 9H, 3CH₃), 1.37 (s, 9H, 3CH₃), 2.37 (s, 3H, CH₃), 2.88 (t, $J = 6.0$ Hz, 2H, CH₂), 4.17 (q, 2H, CH₂), 4.23 (t, $J = 6.0$ Hz, 2H, CH₂), 5.81 (s, 1H, =CH), 6.43 (d, $J = 15$ Hz, 1H, =CH), 6.73 (d, $J = 15$ Hz, 1H, =CH), 6.96 (m, 1H, =CH), 7.25 (d, $J = 2.4$ Hz, 1H, Ar-H), 7.50 (d, $J = 2.4$ Hz, 1H, Ar-H).

25 Ethyl (2E,4E,6Z)-6-(6,8-di-t-butylchroman-4-ylidene)-3-methylhexa-2,4,6-trienoate (38). Compound 38 was prepared in the same manner as 37 except that the *cis* isomer 36 was used instead of 35, Compound 36: TLC (5% EtOAc-hex) R_f 0.5, ¹H-NMR (CDCl₃) δ 1.30 (t, $J = 7$ Hz, 3H, CH₂CH₃), 1.30 (s, 9H, 3CH₃), 1.38 (s, 9H, 3CH₃), 2.32 (s, 3H, CH₃), 2.66 (t, $J = 6.0$ Hz, 2H, CH₂), 4.16 (m, 2H, CH₂), 4.35 (t, $J = 6.0$ Hz, 2H, CH₂), 5.80 (s, 1H,

=CH), 6.08 (d, J = 11 Hz, 1H, =CH), 6.36 (d, J = 15 Hz, 1H, =CH), 7.27 (d, J = 2 Hz, 1H, Ar-H), 7.29 (d, J = 2 Hz, 1H, Ar-H), 7.28 (dd, J = 15, 11 Hz, 1H, Ar-H).

- (2E,4E,6E)-6-(6,8-Di-t-butylchroman-4-ylidene)-3-methylhexa-2,4,6-trienoic acid (39). To 5 85.3 mg (0.22 mmol) of ester **37** in 6 mL of 1:1 THF-MeOH was added 3 mL of an aqueous 5N KOH solution. The solution was heated at reflux for 10 min., cooled to RT, acidified (20% aqueous HCl) and extracted (2 x 6 mL of EtOAc). The extracts were combined, washed (water then brine), dried (MgSO_4), filtered and concentrated. Purification by preparative TLC (5% MeOH- CHCl_3), followed by crystallization (EtOAc-hexane, 1:4) gave 10 74.5 mg (0.20 mmol) of acid **39** as a pale yellow solid (94 % yield): TLC (5% EtOAc-hex) R_f 0.5; mp 237-239 °C; $^1\text{H-NMR}$ (CDCl_3) δ 1.33 (s, 9H, 3CH_3), 1.38 (s, 9H, 3CH_3), 2.37 (s, 3H, CH_3). 2.89 (t, J = 6.0 Hz, 2H, CH_2), 4.23 (t, J = 6.0 Hz, 2H, CH_2), 5.86 (s, 1H, =CH), 6.43 (d, J = 15 Hz, 1H, =CH), 6.74 (d, J = 11 Hz, 1H, =CH), 6.97 (dd, 1H, 15 Hz, 1H, =CH), 7.25 (d, J = 2.3 Hz, 1H, Ar-H), 7.50 (d, J = 2.3 Hz, 1H, Ar-H).
- 15 (2E,4E,6Z)-6-(6,8-Di-t-butylchroman-4-ylidene)-3-methylhexa-2,4,6-trienoic acid (40). Compound **40** was prepared in the same manner as **39**, except that the *cis* isomer **37** was used instead of **36**, Compound **37**: TLC (5% EtOAc-hex) R_f 0.5; mp 233-235 °C; $^1\text{H-NMR}$ (CDCl_3) δ 1.32 (s, 9H, 3CH_3), 1.37 (s, 9H, 3CH_3), 2.33 (s, 3H, CH_3). 2.68 (t, J = 6.0 Hz, 2H, CH_2), 4.35 (t, J = 6.0 Hz, 2H, CH_2), 5.83 (s, 1H, =CH), 6.10 (d, J = 11 Hz, 1H, =CH), 6.39 (d, J = 15 Hz, 1H, =CH), 7.26 (d, J = 2 Hz, 1H, Ar-H), 7.30 (d, J = 2 Hz, 1H, Ar-H), 7.35 (dd, J = 11, 15 Hz, 1H, =CH).
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EXAMPLE 9

(2E, 4E, 6E)-7-(3,5-Di-trifluoromethylphenyl)-3-methylocta-2,4,6-trienoic acid (41), prepared according to Scheme 1.

This compound was synthesized in an analogous manner as 9, except that 3,5-di-trifluoromethylacetophenone was used instead of 3,5-di-t-butylacetophenone: TLC (5% EtOAc-hex) R_f 0.5; mp 225-227 °C; $^1\text{H-NMR}$ (CDCl_3) δ 2.30 (s, 3H, CH_3), 2.40 (s, 3H, CH_3), 5.90 (s, 1H, =CH), 6.52 (d, J = 15 Hz, 1H, =CH), 6.66 (d, J = 12 Hz, 1H =CH), 7.03 (m, 1H, =CH), 7.78 (s, 1H, Ar-H), 7.87 (s, 1H, Ar-H).

10

EXAMPLE 10

(2E, 4E, 6E)-7-(3,5-Di-isopropylphenyl)-3-methylocta-2,4,6-trienoic acid (42), prepared according to Scheme 1.

This compound was synthesized in an analogous manner as 9, except that 3,5-di-isopropylacetophenone was used instead of 3,5-di-t-butylacetophenone: TLC (5% EtOAc-hex) R_f 0.5; mp 157-160 °C; $^1\text{H-NMR}$ (CDCl_3) δ 1.28 (d, J = 8 Hz, 12 H, CH_3), 2.27 (s, 3H, CH_3), 2.42 (s, 3H, CH_3), 2.90 (p, J = 8 Hz, 2H, CH), 5.83 (s, 1H, =CH), 6.42 (d, J = 15 Hz, 1H, =CH), 6.60 (d, J = 15 Hz, 1H =CH), 7.02 (s, 1H, Ar-H), 7.08 (m, 1H, =CH), 7.45 (s, 2H, Ar-H).

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EXAMPLE 11

(2E, 4E, 6Z)-7-(3,5-Di-isopropylphenyl)-3-methylocta-2,4,6-trienoic acid (43), prepared according to Scheme 1.

This compound was synthesized in an analogous manner as 10, except that 3,5-di-isopropylacetophenone was used in the first step instead of 3,5-di-t-butylacetophenone:

TLC (5% EtOAc-hex) R_f 0.5; mp 177-179 °C; $^1\text{H-NMR}$ (CDCl_3) δ 1.25 (d, J = 7 Hz, 12 H, CH_3), 2.18 (s, 3H, CH_3), 2.21 (s, 3H, CH_3), 2.90 (p, J = 7 Hz, 2H, CH), 5.77 (s, 1H, =CH), 6.25 (d, J = 11 Hz, 1H, =CH), 6.27 (d, J = 15 Hz, 1H =CH), 6.85 (dd, J = 11, 15 Hz, 1H, Ar-H), 6.94 (d, J = 2 Hz, 2H, Ar-H), 7.02 (bs, H, Ar-H).

EXAMPLE 12

(2E, 4E, 6E)-7-(4-T-butyl-phenyl)-3-methylocta-2,4,6-trienoic acid (44), prepared according to Scheme 1.

This compound was synthesized in an analogous manner as 9, except that 4-t-butylacetophenone was used instead of 3,5-di-t-butylacetophenone. TLC (5% EtOAc-hex) R_f 0.5; mp 198-200 °C; ¹H-NMR (CDCl₃) δ 1.33 (s, 9H, 3CH₃), 2.26 (s, 3H, CH₃), 2.39 (s, 3H, CH₃), 5.84 (s, 1H, =CH), 6.40 (d, J = 15 Hz, 1H, =CH), 6.60 (d, J = 12 Hz, 1H =CH), 7.07 (m, 1H, =CH), 7.38 (s, 1H, Ar-H), 7.43 (s, 1H, Ar-H).

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EXAMPLE 13

(2E, 4E, 6E)-7-(3,5-Di-t-butyl-4-methoxyphenyl)-3-methylocta-2,4,6-trienoic acid (45), prepared according to Scheme 1.

This compound was synthesized in an analogous manner as 9, except that 3,5-di-t-butyl-4-methoxyacetophenone was used instead of 3,5-di-t-butyl-acetophenone: TLC (5% EtOAc-hex) R_f 0.5; mp 244-246 °C; ¹H-NMR (CDCl₃) δ 1.30 (s, 9H, 3CH₃), 1.42 (s, 9H, 3CH₃), 2.27 (s, 3H, CH₃), 2.42 (s, 3H, CH₃), 3.67 (s, 3H, OCH₃), 5.83 (s, 1H, =CH), 6.34 (d, J = 15 Hz, 1H, =CH), 6.35 (d, J = 15 Hz, 1H =CH), 7.00 (d, J = 2Hz, 1H, Ar-H), 7.06 (m, 1H, =CH), 7.27 (d, J = 2 Hz, 1H, Ar-H).

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EXAMPLE 14

(2E, 4E, 6Z)-7-(3,5-Di-trifluoromethylphenyl)-3-methylocta-2,4,6-trienoic acid (46), prepared according to Scheme 1.

This compound was synthesized in an analogous manner as 10, except that 3,5-di-trifluoromethyl-acetophenone was used instead of 3,5-di-t-butyl-acetophenone. TLC (5% EtOAc-hex) R_f 0.5; mp 225-227 °C; ¹H-NMR (CDCl₃) δ 2.15 (s, 3H, CH₃), 2.24 (s, 3H, CH₃), 5.82 (s, 1H, CH=), 6.36 (d, J=15Hz, 1H, CH=), 6.39 (d, J=9.7Hz, 1H, CH=), 6.53 (dd, J=15Hz, 9.7Hz, 1H, CH=), 7.70 (s, 2H, Ar-CH), 7.83 (s, 1H, Ar-CH).

EXAMPLE 15

(*2E, 4E, 6E*) -3-Methyl-7-(3,5-di-t-butyl-4-methoxyphenyl)octa-2,4,6-trienoic acid (**47**), prepared according to Scheme 1.

This compound was synthesized in an analogous manner as **9**, except that 3,5-di-t-butyl-4-methoxyacetophenone was used instead of 3,5-di-t-butylacetophenone: TLC (50% EtOAc-50% hexanes) R_f 0.5; mp 213-216°C; $^1\text{H-NMR}$ (CDCl_3) δ 1.45 (s, 18H, 6(CH_3)), 2.25, (s, 3H, CH_3), 2.40 (s, 3H, CH_3), 3.70 (s, 3H, OCH_3), 5.84 (s, 1H, =CH), 6.41 (d, J = 15 Hz, 1H, =CH), 6.52 (d, J = 11.2 Hz, 1H, =CH), 7.08 (m, 1H, =CH), 7.35 (s, 2H, Ar-H).

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EXAMPLE 16

(*2E,4E,6E*)-3-Methyl-7-(3,4-diethylphenyl)octa-2,4,6-trienoic acid (**48**), prepared according to Scheme 1.

This compound was synthesized in an analogous manner as **9**, except that 3,4-diethylacetophenone was used instead of 3,5-di-t-butylacetophenone: TLC (20% EtOAc-80% hexanes) R_f 0.3; mp 153-155°C; $^1\text{H-NMR}$ (CDCl_3) δ 1.45 (dd, J = 14.1 Hz, 7.5 Hz, 6H, 2 CH_3), 2.25 (s, 3H, CH_3), 2.39 (s, 3H, CH_3), 2.66 (m, 4H, 2 CH_2), 5.83 (s, 1H, =CH), 6.40 (d, J = 15 Hz, 1H, =CH), 6.59 (d, J = 11.2 Hz, 1H, =CH), 7.07 (m, 1H, =CH), 7.14 (d, J = 7.8 Hz, 1H, Ar-H), 7.27 (d, J = 7.8 Hz, 1H, Ar-CH), 7.28 (s, 1H, Ar-CH).

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EXAMPLE 17

(*2E,4E,6Z*)-3-Methyl-7-(3,4-diethylphenyl)octa-2,4,6-trienoic acid (**49**), prepared according to Scheme 1.

Compound **49** was prepared in the same manner as **10**, except that 3,4-diethylacetophenone was used instead of 3,5-di-t-butylacetophenone: TLC (20% EtOAc-80% hexanes) R_f 0.32; $^1\text{H-NMR}$ (CDCl_3) δ 1.23 (dd, J = 14.1 Hz, 7.5 Hz, 6H, 2 CH_3), 2.18 (s, 6H, 2 CH_3), 2.67 (m, 4H, 2 CH_2), 5.76 (s, 1H, =CH), 6.23 (d, J = 11.8 Hz, 1H, =CH), 6.28 (d, J = 15 Hz, 1H, =CH), 6.83 (m, 1H, =CH), 7.04 (d, J = 7.8 Hz, 1H, Ar-H), 7.06 (s, 1H, Ar-CH), 7.17 (d, J = 7.8 Hz, 1H, Ar-CH).

EXAMPLE 18

(2E, 4E, 6E)-3-Methyl-7-(3,5-di-t-butyl-4-ethoxyphenyl)octa-2,4,6-trienoic acid (50), prepared according to Scheme 1.

This compound was synthesized in an analogous manner as 9, except that 3,5-di-t-butyl-4-ethoxyacetophenone was used instead of 3,5-di-t-butylacetophenone: TLC (50% EtOAc-50% hexanes) R_f 0.5; mp 236-239°C; $^1\text{H-NMR}$ (CDCl_3) δ 1.41 (t, $J = 7.0$ Hz, 3H, CH_3), 1.44 (s, 18H, 6(CH_3)), 2.26 (s, 3H, CH_3), 2.40 (s, 3H, CH_3), 3.77 (q, $J = 7$ Hz, 2H, OCH_2CH_3), 5.84 (s, 1H, =CH), 6.41 (d, $J = 15$ Hz, 1H, =CH), 6.51 (d, $J = 11.2$ Hz, 1H, =CH), 7.08 (m, 1H, =CH), 7.35 (s, 2H, Ar-H).

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EXAMPLE 19

(2E,4E,6E)-3-Methyl-7-(3,4-di-t-butylphenyl)octa-2,4,6-trienoic acid (51), prepared according to Scheme 1.

This compound was synthesized in an analogous manner as 9, except that 3,4-di-t-butylacetophenone was used instead of 3,5-di-t-butylacetophenone: TLC (20% EtOAc-80% hexanes) R_f 0.3; mp 195-199°C; $^1\text{H-NMR}$ (CDCl_3) δ 1.56 (s, 9H, 3 CH_3), 1.58 (s, 9H, 3 CH_3), 2.26 (s, 3H, CH_3), 2.40 (s, 3H, CH_3), 5.84 (s, 1H, =CH), 6.41 (d, $J = 15$ Hz, 1H, =CH), 6.59 (d, $J = 11$ Hz, 1H, =CH), 7.08 (m, 1H, =CH), 7.23 (dd, $J = 8.5, 2.3$ Hz, 1H, Ar-H), 7.57 (d, $J = 8.5$ Hz, 1H, Ar-H), 7.72 (d, $J = 2.3$ Hz, 1H, Ar-H).

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EXAMPLE 20

(2E,4E,6E)-3-Methyl-7-cyclohexyl-7-(3,5-di-t-butylphenyl)hepta-2,4,6-trienoic acid (52), prepared according to Scheme 4. This compound was synthesized in an analogous manner as 27, except that 3,4-di-t-butylphenylcyclohexyl ketone was used instead of 3,5-di-t-butylbutan-1-one: TLC (20% EtOAc-80% hexanes) R_f 0.3; $^1\text{H-NMR}$ (CDCl_3) $^1\text{H NMR}$ (CDCl_3) δ 1.35 (s, 9H), 1.9-1.1 (m, m, 8H), 2.40 (s, 3H), 2.85 (m, 1H), 5.81 (s, 1H), 6.08 (d, 1H, $J = 11.3$ Hz), 6.30 (d, 1H, $J = 15.8$ Hz), 7.02 (s, 2H, Ar-H), 7.14 (dd, 1H, $J = 15.2, 15.2$ Hz), 7.33 (s, 1H, Ar-H),

EXAMPLE 21

(2E,4E,6E)-3-Methyl-7-(3,5-di-t-butylphenyl)nona-2,4,6-trienoic acid (53), prepared according to Scheme 1.

This compound was synthesized in an analogous manner as 9, except that 3,5-di-t-butyl-4-methoxyacetophenone was used instead of 3,5-di-t-butylacetophenone: TLC (50% EtOAc-50% hexanes) R_f 0.5; mp 213-216°C; $^1\text{H-NMR}$ (CDCl_3) δ 1.45 (s, 18H, 6(CH_3)), 2.25, (s, 3H, CH_3), 2.40 (s, 3H, CH_3), 3.70 (s, 3H, OCH_3), 5.84 (s, 1H, = CH), 6.41 (d, J = 15 Hz, 1H, = CH), 6.52 (d, J = 11.2 Hz, 1H, = CH), 7.08 (m, 1H, = CH), 7.35 (s, 2H, Ar-H).

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EXAMPLE 22

(2E,4E,6Z)-3-Methyl-7-(3,4-diethyl-6-methylphenyl)nona-2,4,6-trienoic acid (54), prepared according to Scheme 1. This compound was synthesized in an analogous manner as 10, except that 3,4-diethyl-6-methylacetophenone was used instead of 3,5-di-t-butylacetophenone: TLC (20% EtOAc-80% hexanes) R_f 0.3; $^1\text{H-NMR}$ (CDCl_3) δ 1.22 (t, J = 7.5 Hz, 3H, CH_3), 1.24 (t, J = 7.5 Hz, 3H, CH_3), 2.08 (s, 3H, CH_3), 2.09 (s, 3H, CH_3), 2.17 (s, 3H, CH_3), 2.60 (m, 4H, 2(CH_2))), 5.73 (s, 1H, = CH), 6.25 (m, 3H, 3(= CH)), 6.81 (s, 1H, Ar-H), 7.01 (s, 1H, Ar-H).

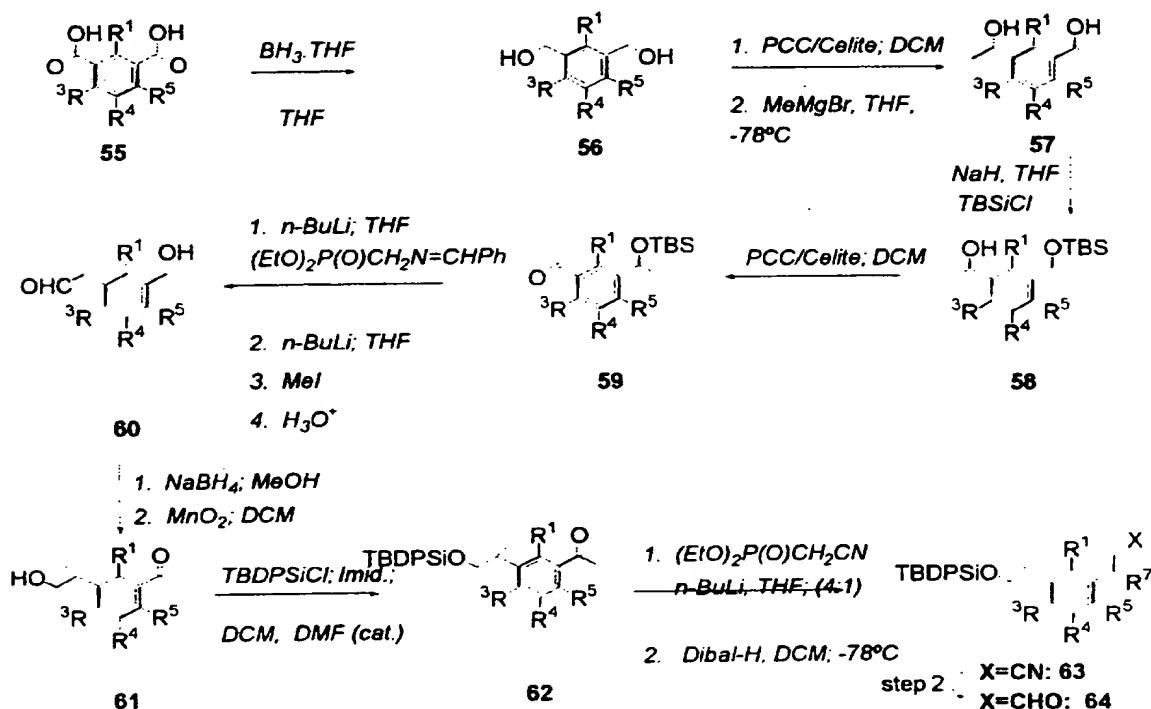
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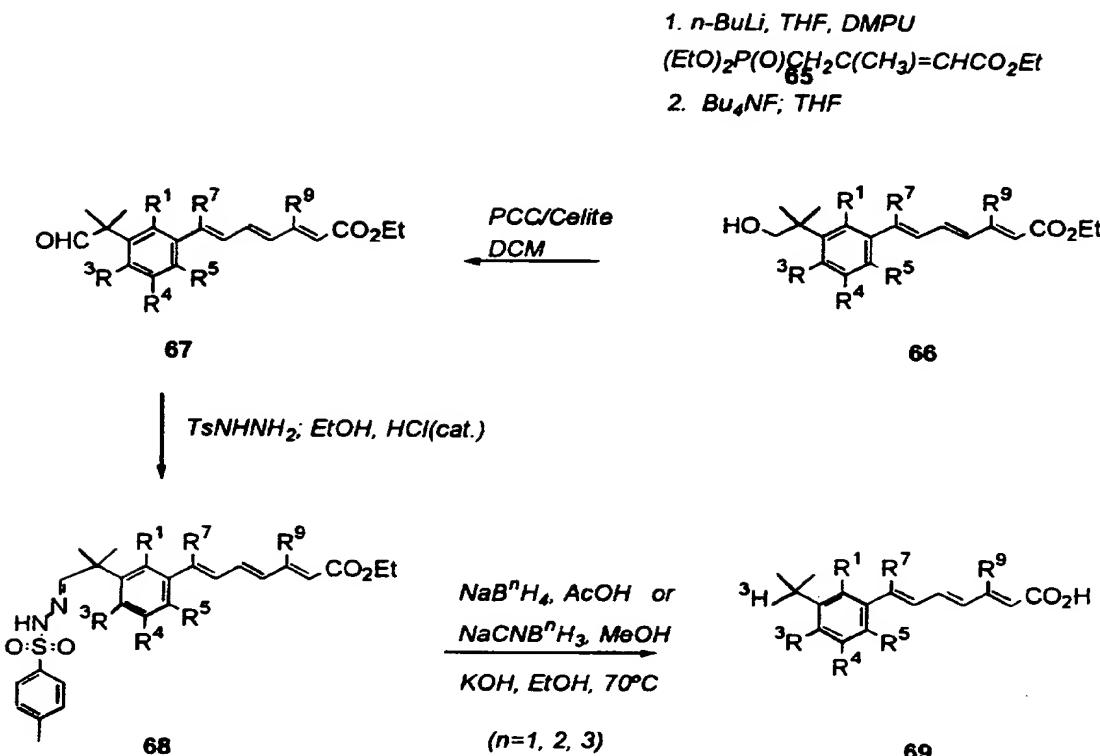
EXAMPLE 23

(2E, 4E, 6E)-7-(3,5-Di-t-butylphenyl)-3-methylocta-2,4,6-trienoic acid (69), prepared according to Scheme 6 illustrated and described below.

5 Scheme 6



(CONTINUED)



5-tert-Butyl-1,3-benzene dimethanol (56). A solution of 5-*tert*-butyl-1,3-benzene dicarboxylic acid (55) 20.0 g (89.9 mmol) in THF (200 mL) was cooled at 0°C and a solution of 5 borane-THF complex in THF (190 mL) was slowly added via an addition funnel over 20 minutes with vigorous stirring. The mixture was warmed to RT and stirred for an additional 90 min. A mixture of water-THF (1:1; 200 mL) was slowly added, followed by an additional 200 mL of water. The mixture was extracted with ethyl acetate. The aqueous layer was extracted with EtOAc (2 x 100 mL) and the combined organic layers washed with water (2 x 100 mL); brine (2 x 100 mL) and dried over $MgSO_4$. The solvent was evaporated to give the pure diol in 98% yield: 1H NMR ($CDCl_3$; 400 MHz) δ (ppm): 7.32 (s, 2 H); 7.19 (s, 1 H); 4.69 (s, 4 H); 1.75 (br. s; 2 H); 1.33 (s, 9 H).

5-*tert*-Butyl-1,3-terephthaldehyde. 5-*tert*-Butyl-1,3-benzene dimethanol (56) (20.0 g; 103 mmol) was added to a vigorously stirring mixture of pyridinium chlorochromate (66.0 g; 306 mmol) and celite (130 g) in dichloromethane (DCM) (500 mL). The mixture was stirred for

3 h at RT until completion (TLC). The reaction mixture was filtered over a short pad of silica gel (2" x 4") and eluted with DCM (1 L). The solvent was evaporated to give 18.7 g; 94% yield of the desired dialdehyde: ^1H NMR (CDCl_3 ; 400 MHz) δ (ppm): 10.11 (s, 2 H); 8.18 (s, 3 H); 1.41 (s 9 H).

5 **(R, S)-5-tert-Butyl-1,3-benzene-[2,2'-diethanol] (57).** A solution of 5-*tert*-butyl-1,3-terephthaldehyde (18.7 g; 96.4 mmol) in THF (400 mL) was cooled to -78°C, and a solution of methyl magnesium bromide (80.0 mL of a 3 M solution) slowly added, and the reaction mixture was warmed to rt. After stirring for 60 min., the solution was quenched with a sat. NH_4Cl solution (100 mL), followed by HCl (1 N, 50 mL) and extraction with EtOAc. The 10 organic layer was washed with water (2 x 100 mL); brine (2 x 100 mL) and dried over MgSO_4 . The solvent was evaporated. The crude residue was dissolved in hot EtOAc (30 mL) and pentane (200 mL) was added. The clear solution was cooled in a -4°C refrigerator for 3 h. The white solid obtained was filtered and rinsed with cold pentane. The solid was dried in vaccuo to give 15.3 g (70% yield) of the desired compound: ^1H NMR (CDCl_3 ; 400 MHz) δ (ppm): 7.32 (s, 2 H); 7.2 (s, 1H); 4.92 (m, 2 H); 1.82 (d, 2 H, $J=2.5$ Hz); 1.52 (d, 6 H, $J=6.5$ Hz); 1.35 (s, 9 H).

(R, S)-5-tert-Butyl-1,3-phenyl-[2-ethanol, 2'-ethane-*tert*-butyl dimethyl silyl ether] (58).

Sodium hydride (2.75 g of a 60% mineral oil content mixture) was rinsed with hexanes (2 x 20 ml), suspended in THF (200 mL) and 5-*tert*-butyl-1,3-benzene-2,2'-diethanol (12.69 g; 57 mmol) was added with vigorous stirring. The mixture was stirred for 45 min. at rt to give a white slurry then *tert*-butyldimethylsilyl chloride (8.61 g, 57 mmol) was added at once. The reaction mixture was stirred for 2 h. and water (25 mL) was added. The mixture was extracted with EtOAc (350 mL). The organic layer was washed with a sat. NH_4Cl solution (100 mL); water (2 x 100 mL); brine (2 x 100 mL) and dried over MgSO_4 . The solvent was evaporated and the residue purified by flash chromatography over silica gel to give the 25 desired monosilylated product (14.45 g; 75% yield) as an oil (2.14 g of the starting material was recovered): ^1H NMR (CDCl_3 ; 400 MHz) δ (ppm): 7.32 (s, 1 H); 7.28 (s, 1H); 7.12 (2s, 1 H); 4.92 (m, 2 H); 1.85 (s, 1 H); 1.5 (d, 3 H, $J=6.5$ Hz); 1.43 (d, 3 H, $J=6.5$ Hz) 1.35 (s, 9 H); 0.9 (s, 9 H); 0.05 (s, 6 H).

- (R, S)-3-(Ethyl-2-tert-butyldimethyl silyl ether)-5-tert-butylacetophenone (59).** Pyridinium chlorochromate (15.0 g; 69 mmol) and celite (30 g) were mixed in DCM (500 mL) while 5-*tert*-butyl-1,3-benzene-(2-ethanol, 2'-ethane-*tert*-butyldimethyl silyl ether) (14.45 g; 44 mmol) in DCM (100 mL) was added with vigorous stirring. After 3 h at rt, the mixture was 5 filtered over a short pad of silica gel (2" x 4") and eluted with DCM (500 L). The solvent was evaporated to give the desired ketone (14.4 g, 99% yield). ^1H NMR (CDCl_3 ; 400 MHz) δ (ppm): 7.89 (s, 1 H); 7.73 (s, 1 H); 7.65 (s, 1 H); 4.94 (q, 1 H, $J=6.3$ Hz); 2.63 (s, 3 H); 1.45 (d, 3 H, $J=6.3$ Hz); 1.37 (s, 9 H); 0.96 (s, 9 H); 0.12 (s, 3 H); -0.05 (s, 3 H).
- 10 **5-tert-Butyl-1-(2-ethanol)-3-(2-[2-methylpropan-1-al])-benzene (60).** A flame-dried three-necked round bottom flask, was charged with THF (90 mL) and cooled to -78°C. *n*-BuLi (12.0 mL of a 2 M solution; 24 mmol) was slowly added and the mixture stirred for 10 min. A solution of N-benzylidene diethyl aminomethyl phosphonate (6.14 g; 24 mmol) in THF (15 mL) was added and the resulting mixture stirred for 60 min at -78°C. A solution of 5-*tert*-butyl-3-(ethane-2-*tert*-butyldimethylsilyl ether]acetophenone (7.0 g, 20.9 mmol) in THF (15 mL) was added to the above solution, and the mixture was warmed to room temp., stirred for 30 min., then refluxed for 2 h. The mixture was cooled to rt and the solvent was evaporated. Diethyl ether (400 mL) was added and the solution was washed with sodium chloride (200 mL). The aqueous layer was extracted with ether (200 mL) and the combined organic 15 layers were washed with brine (200 mL) and dried over MgSO_4 . The solvent was evaporated to give a yellow residue which was dried under high vaccuo (1 mm.Hg) for 1 hour. THF (90 mL) was added to this residue and cooled to -78°C. *n*-BuLi (12.0 mL of a 2 M solution; 24 mmol) was slowly added and the deeply colored solution was stirred for 60 min. Methyl iodide (6.52 mL) was added and the mixture was warmed to room temperature and 20 stirred for 4 h. The reaction mixture was quenched with HCl (3 N; 100 mL) and the biphasic solution was stirred for 16 h at RT. EtOAc (300 mL) was added and the organic layer was separated and washed with water (2 x 100 mL), brine (2 x 100 mL), and dried over MgSO_4 . The solvent was evaporated to give a residue which was purified by s.g.c. to give 3.3 g of 25 the desired aldehyde in 65% yield: ^1H NMR (CDCl_3 ; 400 MHz) δ (ppm): 9.5 (s, 1 H); 7.33

(s, 1H); 7.17 (s, 1H); 7.11 (s, 1H); 4.91 (m, 1 H); 1.80 (d, 1 H, J=3.4 Hz); 1.5 (d, 3 H, J=6.3 Hz); 1.47 (s, 3 H); 1.32 (s, 9 H).

5-tert-Butyl-3-(2-methylpropan-1-ol)acetophenone (61). A solution of 5-*tert*-butyl-1-(2-ethanol)-3-(2-[2-methylpropan-1-al])benzene (1.77 g, 7.53 mmol) in MeOH (50 mL) was cooled to 0°C and NaBH₄ (300 mg, 7.93 mmol) was added portionwise. The reaction mixture was warmed to RT and stirred for 30 min. The solvent was evaporated and the residue taken up in EtOAc (50 mL) and washed with HCl (10%, 3 x 10 mL); water (3 x 20 mL) and brine (3 x 20 mL). The organic layer was dried over MgSO₄ and evaporated to dryness to give the desired diol 1.76 g; 99% yield. This diol (1.65 g; 6.78 mmol) was dissolved in DCM (20 mL) and MnO₂ (18.7 g; 0.17 mmol) was added at once. The reaction mixture was vigorously stirred for 4 h, then filtered over a short pad of celite. The solvent was evaporated to give 1.63 g (97 % yield) of the desired ketone: ¹H NMR (CDCl₃; 400 MHz) δ (ppm): 7.85 (s, 1 H); 7.8 (s, 1 H); 7.63 (s, 1 H); 3.64 (d; 2 H, J=4.5 Hz); 2.6 (s, 3 H); 1.38 (s, 6 H); 1.35 (s, 9 H).

5-tert-Butyl-3-(2-[2-methylpropan-1-tert-butylidiphenylsilyl]ether)acetophenone (62). To a solution of 5-*tert*-butyl-3-(2-methylpropan-1-ol)acetophenone (1.63 g; 6.96 mmol) in DCM (30 mL) was added imidazole (500 mg; 7.35 mmol), a drop of DMF and *tert*-butyldiphenylsilyl chloride (2.01 g; 7.33 mmol). The mixture was stirred overnight at RT and quenched with excess sat. NH₄Cl. DCM (50 mL) was added and the organic layer washed with water (3 x 20 mL) and brine (3 x 20 mL). The organic layer was dried over MgSO₄ and evaporated to dryness to give a residue which was purified by s.g.c. to give the desired ether 2.77 g (83% yield): ¹H NMR (CDCl₃; 400 MHz) δ (ppm): 7.85 (s, 1 H), 7.76 (s, 1 H); 7.63 (1 H); 7.48-7.25 (mm, 10 H); 3.62 (s, 2 H); 2.56 (s, 3 H); 1.38 (s, 6 H); 1.33 (s, 9 H); 0.94 (s, 9 H).

5-tert-Butyl-3-(2-[2-methylpropan-1-tert-butylidiphenylsilyl]ether)-1-(2E)-3-[2-butenitrile]-benzene (63). To a solution of diethyl cyanomethyl phosphonate (1.7 g, 9.55 mmol) in THF (30 mL) at 0°C was added n-BuLi (4.64 mL of a 2.0 M solution in hexanes). The solution

was stirred for 10 min., after which a solution of 5-*tert*-butyl-3-(2-[2,2'-dimethylpropan-*tert*-butyldiphenylsilyl ether])acetophenone in THF (10 mL) was added. The reaction mixture was stirred for 30 min. and quenched with a sat. NH₄Cl solution. EtOAc (50 mL) was added and the organic layer was washed with water (3 x 20 mL) and brine (3 x 20 mL). The 5 organic layer was dried over MgSO₄ and evaporated to dryness to give a residue which was purified by s.g.c. to give the desired nitrile as a trans:cis mixture (~4:1 by ¹H NMR). S.g.c. gave the desired trans isomer 1.70 g, 63% yield: ¹H NMR (CDCl₃; 400 MHz) δ (ppm): 7.85 (s, 1 H), 7.48-7.22 (mm, 13 H, ArH); 5.5 (s, 1 H); 3.59 (s, 2 H); 2.43 (s, 3 H); 1.35 (s, 6 H); 1.27 (s, 9 H); 0.94 (s, 9 H).

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5-*tert*-Butyl-3-(2-[2-methylpropan-1-*tert*-butyldiphenylsilylether])-1-(2E)-(3-[2-butenal]benzene (64). A solution of 5-*tert*-butyl-3-(2-[2-methylpropan-1-*tert*-butyldiphenylsilylether])-1-(3-[3-methyl-2-propenitrite)benzene (1.7 g; 3.42 mmol) in anhydrous DCM (20 mL) was cooled to -78°C and Dibal (3.5 mL of a 1 M solution in 15 toluene) was added dropwise. The reaction mixture was stirred at -78°C for 60 min, quenched with excess Rochelle salt, then allowed to warm to RT. EtOAc (50 mL) was added and the mixture washed with water (3 x 20 mL) and brine (3 x 20 mL). The organic layer was dried over MgSO₄ and evaporated to dryness to give a residue which was purified by s.g.c. to give 1.25 g of the desired aldehyde (74% yield): ¹H NMR (CDCl₃; 400 MHz) δ (ppm): 10.17 (d, 1 H; J=8 Hz); 7.48-7.22 (mm, 13 H, ArH); 6.36 (d, 1 H, J=8 Hz); 3.60 (s, 20 2 H); 2.54 (s, 3 H); 1.37 (s, 6 H); 1.32 (s, 9 H); 0.94 (s, 9 H).

Ethyl-(2E, 4E, 6E)-7-(5-*tert*-butyl-3-[2-(2-methylpropan-1-o)]-1'-benzene)-3-methylocta-2,4,6-trienoate (66). A solution of diethyl 3-ethoxycarbonyl-2-methylprop-2-enyl 25 phosphonate (1.20 g, 4.52 mmol) in anhydrous THF (20.0 mL) was cooled to 0°C and added with anhydrous DMPU (3.5 mL) and *n*-BuLi in hexanes (2.15 mL of 2.0 M solution, 4.50 mmol). The mixture was stirred at this temperature for 20 min., then cooled to -78°C. A solution of 5-*tert*-butyl-3-(2-[2-methyl propan-1-*tert*-butyldiphenylsilyl ether])-1-(3-[3-methyl-2-propenal)benzene (1.25 g, 2.52 mmol) in THF (10.0 mL) was slowly added and the 30 reaction mixture stirred at -78°C for an additional 60 min. The mixture was allowed to

warm to 23°C for 1 h with stirring. A sat. solution of ammonium chloride (5 mL) was added and the mixture extracted using EtOAc (3x 10 mL). The organic layer was washed with water (2x 25 mL) and brine (50 mL), dried over MgSO₄ and concentrated. The residue was purified on a short sgc column to give 1.35 g (86% yield) of the desired ester (65). The above silyl ether (1.05 g, 1.68 mmol) was dissolved in THF (20 mL) and tetrabutylammonium fluoride (17 mL of 1 M solution in THF) was added. The reaction mixture was stirred at room temperature for 12 h, and EtOAc (50 mL) was added, followed by wash with water (2 x 20 mL), brine (20 mL). The organic layer was separated, dried over MgSO₄ and evaporated to dryness. The residue was purified by s.g.c. to give 479 mg (80% yield) of the desired alcohol:

10 ¹H NMR (CDCl₃; 400 MHz) δ (ppm): 7.9 (d, 1 H; J= 16 Hz, 2:cis isomer; ~15%); 7.34 (s, 2 H), 7.29 (s, 1 H), 7.03 (dd, 1 H, J=16 Hz); 6.53 (d, 1 H, J=12 Hz); 6.38 (d, 1 H, J=16 Hz); 5.72 (s, 1 H); 5.68 (s, 1 H, 2:cis isomer; ~15%); 4.15 (q, 2 H, J=6.7 Hz); 3.62 (s 2 H); 2.38 (s, 3 H); 2.28 (s, 3 H); 1.6 (br. s; 1 H); 1.37 (s, 6 H); 1.33 (s, 9 H), 1.26 (t, 3 H, J=6.7 Hz).

15 Ethyl-(2E, 4E, 6E)-7-(5-tert-butyl-3-[2-(2-methylpropan-1-al)]-1'-phenyl)-3-methylocta-2,4,6-trienoate (67). To a vigorously stirred mixture of pyridinium chlorochromate(350 mg; 1.39 mmol) and celite (750 mg) in DCM (20 mL) was added a solution of ethyl-(2E, 4E, 6E)-7-(5-tert-butyl-3-[2-(2-methylpropan-1-ol)]-1'-phenyl)-3-methylocta-2,4,6-trienoate (330 mg; 0.889 mmol) in DCM (10 mL). The mixture was stirred for 3 h at rt and filtered over a short pad of silica gel. The solvent was evaporated and the residue purified by s.g.c. to give the desired aldehyde 290 mg (87% yield): ¹H NMR (CDCl₃; 400 MHz) δ (ppm): 9.5 (s, 1H); 7.9 (d, 1 H; J= 16 Hz, 2:cis isomer; ~15%); 7.34 (s, 2 H), 7.29 (s, 1 H), 7.03 (dd, 1 H, J=16 Hz); 6.53 (d, 1 H, J=12 Hz); 6.38 (d, 1 H, J=16 Hz); 5.79 (s, 1 H); 5.68 (s, 1 H, 2:cis isomer; ~15%); 4.15 (q, 2 H, J=6.7 Hz); 3.62 (s 2 H); 2.38 (s, 3 H); 2.28 (s, 3 H); 1.37 (s, 6 H); 1.33 (s, 9 H), 1.26 (t, 3 H, J=6.7 Hz).

20 Ethyl-(2E, 4E, 6E)-7-(5-tert-butyl-3-[2-(2-methylpropan-1-al)]-1'-phenyl)-3-methylocta-2,4,6-trienoate p-toluenesulfonyl hydrazone (68). To a solution of ethyl-(2E, 4E, 6E)-7-(5-tert-butyl-3-[2-(2-methyl propanal)]-1'-phenyl)-3-methylocta-2,4,6-trienoate (250 mg, 0.67 mmol) in ethanol (5 mL) was added p-toluenesulfonyl hydrazide (137 mg, 0.73 mmol) and

25 30

~10 ml of conc. HCl. The mixture was heated at 40–45°C for 15 min. The solvent was evaporated and the residue purified by s. g. c. to give 330 mg (89% yield): ¹H NMR (CDCl₃, 400 MHz) δ (ppm): 7.82 (d, 2 H, J=7.4 Hz); 7.5 (2s, 2 H); 7.3 (d, 2 H, J=7.4 Hz); 7.18 (s, 1 H); 7.05 (s, 1 H); 7.0 (dd, 1 H, J=16 Hz); 6.45 (d, 1 H, J=12 Hz); 6.38 (d, 1 H, J=12 Hz); 5 5.82 (s, 1 H); 4.2 (q, 2 H, J= 6.7 Hz); 3.62 (s 2 H); 2.42 (s, 3 H); 2.38 (s, 3 H); 2.28 (s, 3 H); 1.37 (s, 6 H); 1.33 (s, 9 H), 1.26 (t, 3 H, J=6.7 Hz).

(2E, 4E, 6E)-7-(3, 5-Di-tert-butylphenyl)-3-methylocta-2,4,6-trienoic acid (69)**. To a solution of ethyl-(2E, 4E, 6E)-7-(5-tert-butyl-3-[2-(2-methylpropan-1-al)]-1'-phenyl)-3-methylocta-2,4,6-trienoate p-toluenesulfonyl hydrazone (68) (80 mg) in acetic acid (2.0 mL) 10 was added sodium borohydride* (80 mg) in small portions. The mixture was heated at 50°C for 1 h, cooled to RT and added to ice; allowed to warm to ambient temperature and extracted with EtOAc (3 x 10 mL). The organic layer was washed with water (3 x 10 mL); NaHCO₃ (2 x 10 mL); water (3 x 10 mL); brine (3 x 10 mL); dried over MgSO₄ and 15 evaporated. The residue was purified by s.g.c. to give the desired ester. This ester (20 mg) was dissolved in EtOH and KOH 1 M (1 mL) was added and the mixture heated at reflux for 3 h. The reaction mixture was cooled to RT; neutralized with HCl (10%) and extracted with EtOAc. The organic layer was washed with water (3 x 10 mL); brine (3 x 10 mL); dried over MgSO₄ and evaporated to give the desired acid.

20 Those skilled in the art will recognize that the above protocol can be adapted to use labeled and radiolabeled NaBⁿH₄ (n=1, 2, 3) to generate the labeled (i.e., tritium labeled) compounds shown in Scheme 6.

Furthermore, those skilled in the art will also recognize that the same labeled (deutero and tritio)-compound (69) can be obtained from hyrazone (68) with heating for 8 h 25 in methanol in the presence of NaCNBⁿH₃ (n=1, 2, 3) and ZnCl₂, followed by saponification (KOH, EtOH). In another alternative embodiment, aldehyde (67) is reduced with radiolabeled NaBⁿH₄ (n=1, 2, 3), and the resulting alcohol is then oxidized to the corresponding tritiated aldehyde. Conversion of such an aldehyde to its tosyl hydrazone,

followed by reduction with sodium cyanoborohydride and ZnCl₂ in methanol, and saponification yields the corresponding acid (See 68 to 69 of Scheme 6).

Evaluation of Retinoid Receptor Subfamily Activity

5 Utilizing the "cis-trans" or "co-transfection" assay described by Evans et al., Science, 240:889-95 (May 13, 1988), the disclosure of which is herein incorporated by reference, the retinoid compounds of the present invention were tested and found to have strong, specific activity as either selective RAR agonists, selective RXR agonists, or as pan-agonist activators of both RAR and RXR receptors. This assay is described in further detail in U.S. Patent
10 Nos. 4,981,784 and 5,071,773, the disclosures of which are incorporated herein by reference.

The co-transfection assay provides a method for identifying functional agonists which mimic, or antagonists which inhibit, the effect of native hormones, and quantifying their activity for responsive IR proteins. In this regard, the co-transfection assay mimics an in vivo system in the laboratory. Importantly, activity in the co-transfection assay correlates very well with known in vivo activity, such that the co-transfection assay functions as a qualitative and quantitative predictor of a tested compound's in vivo pharmacology. See, e.g., T. Berger et al. 41 J. Steroid Biochem. Molec. Biol. 773 (1992), the disclosure of which is herein incorporated by reference.

20 In the co-transfection assay, a cloned cDNA for an IR (e.g., human RAR α , RAR β , RXR γ) under the control of a constitutive promoter (e.g., the SV 40 promoter) is introduced by transfection (a procedure to induce cells to take up foreign genes) into a background cell substantially devoid of endogenous IRs. This introduced gene directs the recipient cells to make the IR protein of interest. A second gene is also introduced (co-transfected) into the
25 same cells in conjunction with the IR gene. This second gene, comprising the cDNA for a reporter protein, such as firefly luciferase (LUC), is controlled by an appropriate hormone responsive promoter containing a hormone response element (HRE). This reporter plasmid functions as a reporter for the transcription-modulating activity of the target IR. Thus, the

reporter acts as a surrogate for the products (mRNA then protein) normally expressed by a gene under control of the target receptor and its native hormone.

The co-transfection assay can detect small molecule agonists or antagonists of target IRs. Exposing the transfected cells to an agonist ligand compound increases reporter activity in the transfected cells. This activity can be conveniently measured, e.g., by increasing luciferase production, which reflects compound-dependent, IR-mediated increases in reporter transcription. To detect antagonists, the co-transfection assay is carried out in the presence of a constant concentration of an agonist to the target IR (e.g., all-*trans* retinoic acid for RAR α) known to induce a defined reporter signal. Increasing concentrations of a suspected antagonist will decrease the reporter signal (e.g., luciferase production). The co-transfection assay is therefore useful to detect both agonists and antagonists of specific IRs. Furthermore, it determines not only whether a compound interacts with a particular IR, but whether this interaction mimics (agonizes) or blocks (antagonizes) the effects of the native regulatory molecules on target gene expression, as well as the specificity and strength of this interaction.

The activity of the retinoid compounds of the present invention were evaluated utilizing the co-transfection assay according to the following illustrative Example 23.

EXAMPLE 23

20 **Co-transfection assay**

CV-1 cells (African green monkey kidney fibroblasts) were cultured in the presence of Dulbecco's Modified Eagle Medium (DMEM) supplemented with 10% charcoal resin-stripped fetal bovine serum then transferred to 96-well microtiter plates one day prior to transfection.

25 To determine RAR and/or RXR agonist activity of the compounds of the present invention, the CV-1 cells were transiently transfected by calcium phosphate coprecipitation according to the procedure of Berger et al., 41 *J.Steroid Biochem. Mol. Biol.*, 733 (1992) with the following receptor expressing plasmids: pRShRAR α ; Giguere et al., 330 Nature, 624 (1987); pRShRAR β and pRShRAR γ , Ishikawa et al., 4 Mol. Endocrin., 837 (1990); 30 pRShRXR α , Mangelsdorf et al., 345 Nature, 224 (1990); and pRSmRXR β and

pRSmRXR γ , Mangelsdorf *et al.*, 6 Genes & Devel., 329 (1992), the disclosures of which are herein incorporated by reference. Each of these receptor expressing plasmids was co-transfected at a concentration of 5 ng/well, along with a basal reporter plasmid at 100 ng/well, the internal control plasmid pRS- β -Gal at 50 ng/well and filler DNA, pGEM at 45

5 ng/well.

The basal reporter plasmid D-MTV-LUC (Hollenberg and Evans, 55 Cell, 899 (1988), the disclosure of which is herein incorporated by reference) containing two copies of the TRE-palindromic response element described in Umesono *et al.*, 336 Nature, 262 (1988), the disclosure of which is herein incorporated by reference, was used in transfections 10 for the RARs, and the reporter plasmid CRBPIIFKLUC, which contains an RXRE (retinoid X receptor response element, as described in Mangelsdorf *et al.*, 66 Cell, 555 (1991), the disclosure of which is herein incorporated by reference), was used in transfections for the RXRs. Each of these reporter plasmids contains the cDNA for firefly luciferase (LUC) under constitutive promoter containing the appropriate RAR or RXR response element. As 15 noted above, pRS- β -Gal, coding for constitutive expression of *E. coli* β -galactosidase (β -Gal), was included as an internal control for evaluation of transfection efficiency and compound toxicity.

Six hours after transfection, media was removed and the cells were washed with phosphate-buffered saline (PBS). Media containing compounds of the present invention in 20 concentrations ranging from 10^{-12} to 10^{-5} M were added to the cells. Similarly, the reference compounds all-*trans* retinoic acid (ATRA)(Sigma Chemical), a known RAR selective compound, and 9-*cis* retinoic acid (9-*cis*) (synthesized as described in Heyman *et al.*, Cell, 68:397-406 (1992)), a compound with known activity on RXRs, were added at similar concentrations to provide a reference point for analysis of the activity of the compounds of the 25 present invention. Retinoid purity was established as greater than 99% by reverse phase high-performance liquid chromatography. Retinoids were dissolved in dimethylsulfoxide for use in the transcriptional activation assays. Three to four replicates were used for each sample.

After 40 hours, the cells were washed with PBS, lysed with a Triton X-100-based buffer and assayed for LUC and β -Gal activities using a luminometer or spectrophotometer, respectively. For each replicate, the normalized response (NR) was calculated as:

5

LUC response/ β -Gal rate

where β -Gal rate = β -Gal $\cdot 1 \times 10^{-5}$ / β -Gal incubation time.

The mean and standard error of the mean (SEM) of the NR were calculated. Data was plotted as the response of the compound compared to the reference compounds over the 10 range of the dose-response curve. For the agonist compounds of the present invention, the effective concentration that produced 50% of the maximum response (EC₅₀) was quantified.

The potency (nM) of selected retinoid compounds of the present invention are in Table 1 below.

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5 **Table 1:** Potency (nM) of selected retinoid compounds of the present invention on RAR α , β , γ and RXR α , β , γ , in comparison to the known RAR-active retinoid compound all-*trans* retinoic acid (ATRA) and RXR-active retinoid compound 9-*cis* retinoic acid (9-*cis*), and in comparison to comparative example compounds A, B, C and D.

	RAR α	RAR β	RAR γ	RXR α	RXR β	RXR γ
Cmpd. No.	Pot nM	Pot nM	Pot nM	Pot nM	Pot nM	Pot nM
9	4	1	<1	na	na	na
10	59	23	16	127	48	56
28	na	na	na	20	104	50
39	5	3	3	na	na	na
41	3	1	4	2007	266	2183
43	65	22	16	27	27	19
44	223	37	33	1443	21	224
47	na	1	1	na	na	na
54	na	130	320	58	28	78
ATRA	436	78	19	1015	1211	961
9-cis	220	29	50	195	128	124
A	na	1484	na	na	na	na
B	na	na	na	na	na	na
C	na	na	na	na	na	na
D	na	na	na	na	na	na

na = not active (potency of >10,000 and/or efficacy of <20%)

As can been seen in Table 1, Compounds 9, 39, 41 and 47 are extremely potent RAR active compounds, with Compound 9 displaying sub-nanomolar activity on RAR γ , and Compound 47 displaying selectivity on RAR β and RAR γ . In fact, these Compounds are 10 to over 100 times more potent than the known RAR active compound ATRA on the RARs. Likewise, Compound 28 is a very potent and selective RXR active compound. Furthermore, pan-agonist Compounds 10 and 43 display a superior potency profile to that of the known RXR active pan-agonist compound 9-*cis* retinoic acid. While efficacy is not reported in Table 1, those compounds displaying an efficacy of less than 20 percent are considered to be inactive as retinoid activators (potency defined as >10,000), even if the compounds display marginal potency. In this regard, except for comparative compound A on RAR β , the comparative example compounds all displayed efficacies of less than 20 percent.

The retinoid activity of the compounds of the present invention for RAR and/or RXR receptors is not exhibited by other known structurally similar compounds. As further shown in Table 1, comparative example compounds that appear structurally similar to the compounds of the present invention, such as (2E,4E,6E)-3-methyl-7-(3,4-dimethoxyphenyl)octa-2,4,6-trienoic acid (A) and (2E,4E,6E)-3-methyl-7-(4-methoxyphenyl)octa-2,4,6-trienoic acid (B) described in M.J. Aurell, et al., 49 *Tetrahedron*, 6089 (1993) (Scheme 2, compounds d and e), (2E,4E,6E)-2-methyl-7-(2,3,6-trimethyl-4-methoxyphenyl)hepta-2,4,6-trienoic acid (C) disclosed in U.S. patent No. 4,534,979 (Example 17), and (2E,4E,6E)-3-methoxy-7-(4-t-butylphenyl)octa-2,4,6-trienoic acid (D) disclosed in U.S. Patent No. 5,320,833 (Compound 80), have no, or virtually no, activity on any of the RARs or RXRs.

EXAMPLE 24

In addition to the cotransfection data of Example 15, the binding of selected compounds of the present invention to the RAR and RXR receptors was also investigated according to the methodology described in M.F., Boehm, et al., "Synthesis and Structure-Activity Relationships of Novel Retinoid X Receptor Selective Retinoids", 37 *J. Med. Chem.*, 2930 (1994); M.F. Boehm, et al., "Synthesis of High Specific Activity [³H]-9-cis Retinoic Acid and Its Application for Identifying Retinoids with Unusual Binding Properties", 37 *J. Med. Chem.*, 408 (1994), and E.A. Allegretto, et al., "Characterization and Comparison of Hormone-Binding and Transactivation Properties of Retinoic Acid and Retinoid X Receptors Expressed in Mammalian Cells and Yeast", 268 *J. Biol. Chem.*, 22625 (1993), the disclosures of which are herein incorporated by reference.

Non-specific binding was defined as that binding remaining in the presence of 500 nM of the appropriate unlabelled compound. At the end of the incubation period, bound from free ligand were separated. The amount of bound tritiated retinoids was determined by liquid scintillation counting of an aliquot (700 mL) of the supernatant fluid or the hydroxylapatite pellet.

After correcting for non-specific binding, IC₅₀ values were determined. The IC₅₀ value is defined as the concentration of competing ligand needed to reduce specific binding by 50%.

The IC₅₀ value was determined graphically from a log-logit plot of the data. The K_i values were determined by application of the Cheng-Prussoff equation to the IC₅₀ values, the labeled ligand concentration and the K_d of the labeled ligand.

5 The binding activity (K_d in nM) results of selected retinoid compounds of present invention, and the reference compounds ATRA, and 9-*cis* RA, is shown in Table 2 below.

10 **Table 2:** Binding (K_d in nM) of selected retinoid compounds of the present invention on RAR α , β , γ and RXR α , β , γ proteins in comparison to the known RAR-active retinoid compound all-*trans* retinoic acid (ATRA) and RXR-active retinoid compound 9-*cis* retinoic acid (9-*cis*).

	RAR α	RAR β	RAR γ	RXR α	RXR β	RXR γ
Cmpd. No.	Binding K _d (nM)					
9	1	2	4	270	924	496
10	59	75	121	4	4	9
ATRA	15	17	17	53	306	306
9- <i>cis</i>	93	97	148	8	15	14

15 As can be seen in Table 2, Compounds 9 and 10 of the present invention show equal or superior binding to the known RAR active compound ATRA, and the known RXR active compound 9-*cis*. In comparison, all of the comparative example compounds of Example 14 show absolutely no binding on any of the retinoid receptors, with the exception of comparative example compound A, which shows weak binding of 312 nM on RAR α .

20

EXAMPLE 25

Yet another recognized measure of the retinoid activity of the compounds of the present invention is the ornithine decarboxylase assay, as originally described by Verma and Boutwell, 37 Cancer Research, 2196 (1977), the disclosure of which is herein incorporated by reference. In Verma & Boutwell original work using retinoic acid, it was established that ornithine decarboxylase (ODC) activity increased in relation to polyamine biosynthesis. In turn, it had previously been established that increases in polyamine biosynthesis is correlated with cellular proliferation. Thus, if ODC activity could be inhibited, cell hyperproliferation could be

modulated. Although all causes of increased OCD activity are yet unknown, it is known that 12-O-tetradecanoylphorbol-13-acetate (TPA) induces ODC activity. Importantly, retinoic acid inhibits this induction of ODC by TPA.

An ODC assay essentially following the procedures set out in 35 Cancer Research, 1662 5 (1975), the disclosure of which is herein incorporated by reference, was used to demonstrate the inhibition of TPA induction of ODC by the compounds of the present invention. The results of this assay on selected Example Compounds, and the reference compounds ATRA and (E)-4-[2-(5,6,7,8-tetrahydro-5,5,8,8-tetramethyl-2-naphthalenyl)-1-propenyl]benzoic acid (TTNPB), known RAR active compounds, are shown below in Table 3. All values are expressed as the 10 concentration of the indicated compounds in nM required to inhibit the TPA induction of ODC by 80 percent, i.e., the IC₈₀ in nM.

Table 3: Inhibitory concentration required to inhibit 80% of the maximally observed TPA induction of ODC (**ODC IC₈₀**) in nM for Compounds 15 7, 8, 9 and 10, and reference compounds ATRA and TTNPB.

Compound	ODC IC ₈₀ (nM)
7	4.73
8	152
9	0.93
10	6.62
ATRA	1.40
TTNPB	0.09

Compounds 7 and 8, which are the esters of Compounds 9 and 10 respectively, have been included to show that such ester analogs exhibit retinoid activity. While not being bound to 20 a theory of operation, it is believed that such esters may operate as pro-drugs *in vivo*, possibly due to the cleavage of the ester to the active acid form of the compounds of the present invention.

EXAMPLE 26

The *in vitro* affect of selected compounds of the present invention on the recognized cancer cell lines, RPMI 8226, ME 180 and AML-193, obtained from the American Type Culture Collection (ATCC, Rockville, MD), was investigated.

5 RPMI 8226 is a human hematopoietic cell line obtained from the peripheral blood of a patient with multiple myeloma, and as such is a recognized model for multiple myelomas and related malignancies. Y. Matsuoka, G.E. Moore, Y. Yagi and D. Pressman, "Production of free light chains of immunoglobulin by a hematopoietic cell line derived from a patient with multiple myeloma", 125 *Proc. Soc. Exp. Biol. Med.*, 1246 (1967), the disclosure of which is herein incorporated by reference. The cells resemble the lymphoblastoid cells of other human lymphocyte cell lines and secretes λ -type light chains of immunoglobulin. RPMI 8226 cells were grown in RPMI medium (Gibco) supplemented with 10% fetal bovine serum, glutamine and antibiotics. The cells were maintained as suspension cultures grown at 37 °C in a humidified atmosphere of 5% CO₂ in air. The cells were diluted to a concentration of 1 x 10⁵/mL twice a week.

10 ME 180 is a human epidermoid carcinoma cell line derived from the cervix, and as such is a recognized model for squamous cell carcinomas and related malignancies. J.A. Sykes, J. Whitescarver, P. Jernstrom, J.F. Nolan and P. Byatt, "Some properties of a new epithelial cell line of human origin", 45 *MH-Adenoviridae J. Natl. Cancer Inst.*, 107 (1970), the disclosure of which is herein incorporated by reference. The tumor was a highly invasive squamous cell carcinoma with irregular cell clusters and no significant keratinization. ME 180 cells were grown and maintained in McCoy's 5a medium (Gibco) supplemented with 10% fetal bovine serum, glutamine and antibiotics. The cells were maintained as monolayer cultures grown at 37 °C in a humidified atmosphere of 5% CO₂ in air.

15 The AML-193 cell line was established from the blast cells of a patient with leukemia and was classified as M5 Acute Monocytic Leukemia, and as such is a recognized model for leukemias and related malignancies. G. Rovera, et al., 139 *J. Immunol.*, 3348 (1987), the disclosure of which is herein incorporated by reference. Over 75% of these cells are positive by immunofluorescence for the myelomonocytic antigen CS15. The cells were grown in Iscove's modified Dulbecco's medium with 5 μ g/mL transferring, 5 μ g/mL insulin and 2

ng/mL rh GM-CSF. The cells were maintained as suspension cultures grown at 37 °C in a humidified atmosphere of 5% CO₂ in air. The cells were diluted to a concentration of 1 x 10⁵/mL twice a week.

Incorporation of ³H-Thymidine

- 5 Measurement of the level of radiolabeled thymidine incorporated into the above-identified cell lines provides a direct measurement of the antiproliferative properties of the compounds of the present invention. The method used for determination of the incorporation of radiolabeled thymidine was adapted from the procedure described by S. Shrivastav et al., "An in vitro assay procedure to test chemotherapeutic drugs on cells from human solid tumors", 40 *Cancer Res.*, 4438 (1980), the disclosure of which is herein incorporated by reference. RPMI 8226 or AML-193 cells were plated in a 96 well round bottom microtiter plate (Costar) at a density of 1,000 cells/well. To appropriate wells, retinoid test compounds were added at the final concentrations indicated for a final volume of 150 µL/well. The plates were incubated for 96 hours at 37 °C in a humidified atmosphere of 5% CO₂ in air.
- 10 Subsequently, 1 µCi of [5'-³H]-thymidine (Amersham, U.K, 43 Ci/mmol specific activity) in 25 µL culture medium was added to each well and the cells were incubated for an additional six hours. The cultures were further processed as described below.

ME 180 cells, harvested by trypsinization were plated in a 96 well flat bottom microtiter plate (Costar) at a density of 2,000 cells/well. The cultures were treated as 20 described above for RPMI 8226 with the following exceptions. After incubation, the supernatant was carefully removed, and the cells were washed with a 0.5 mM solution of thymidine in phosphate buffered saline. ME 180 cells were briefly treated with 50 µL of 2.5% trypsin to dislodge the cells from the plate. Both cell lines were then processed as follows: the cellular DNA was precipitated with 10% trichloroacetic acid onto glass fiber filter mats using a SKATRON multi-well cell harvester (Skatron Instruments, Sterling VA). Radioactivity incorporated into DNA, as a direct measurement of cell growth, was measured by liquid scintillation counting. The mean disintegrations per minute of incorporated thymidine from triplicate wells was determined. The IC₅₀ (nM concentration required to inhibit 50% of the maximally observed incorporation of thymidine) for Compounds 9 and 10

of the present invention, and reference compounds ATRA and TTNBP are shown below in Tables 4, 5 and 6 for the cell lines RPMI 8226, ME 180 and AML-193 respectively.

Viability

Selected compounds of the present invention were also measured to determine their cytotoxicity on the above-identified cell lines. The procedure used was identical, with only slight modifications, to the assay described in T. Mosmann, "Rapid colorimetric assay for cellular growth and survival: application to proliferation and cytotoxicity assays", 65 *J. Immunol. Meth.*, 55 (1983), the disclosure of which is herein incorporated by reference.

RPMI 8226 or AML-193 cells were plated in a 96 well round bottom microtiter plate (Costar) at a density of 1,000 cells/well. To appropriate wells, retinoid test compounds were added at the final concentrations indicated for a final volume of 150 µL/well. The plates were incubated for 96 hours at 37 °C in a humidified atmosphere of 5% CO₂ in air. Subsequently, 15 µL of a filter sterilized tetrazolium dye in phosphate buffered saline (Promega, Madison, WI) was added to each well and the cells were incubated for an additional four hours. Subsequent manipulations of the cultures were as described below.

ME 180 cells, harvested by trypsinization were plated in a 96 well flat bottom microtiter plate (Costar) at a density of 2,000 cells/well. The cultures were treated as described above for RPMI 8226.

After the four hours incubation, 100 µL of a solubilization/stop solution was added to each well (Promega, Madison, WI). The plates were allowed to stand overnight at 37 °C in the humidified atmosphere. The absorbance at 570 - 600 nm wavelength was recorded for each well using a Biomek ELISA plate reader (Beckman Instruments). The IC₅₀ (nM concentration required to inhibit 50% of the mitochondrial function, and ultimately, the viability of the cells) for Compounds 9 and 10 of the present invention, and reference compounds ATRA and TTNBP are also shown below in Table 4, 5 and 6 for the cell lines RPMI 8226, ME 180 and AML-193 respectively.

Table 4: Inhibitory concentration required to inhibit 50% of the maximally observed radiolabeled thymidine (**TdR IC₅₀**) in nM, and inhibitory concentration required to inhibit 50% of the mitochondrial function (**MTS IC₅₀**) in nM, for Compounds **9** and **10**, and reference compounds ATRA and TTNBP on the RPMI 8226 cell line.

5

Compound	TdR IC ₅₀ (nM)	MTS IC ₅₀ (nM)
9	0.3	253
10	60	570
ATRA	102	756
TTNBP	0.2	10

Table 5: Inhibitory concentration required to inhibit 50% of the maximally observed radiolabeled thymidine (**TdR IC₅₀**) in nM, and inhibitory concentration required to inhibit 50% of the mitochondrial function (**MTS IC₅₀**) in nM, for Compounds **9** and **10**, and reference compounds ATRA and TTNBP on the ME 180 cell line.

10

Compound	TdR IC ₅₀ (nM)	MTS IC ₅₀ (nM)
9	0.1	1.3
10	62	370
ATRA	253	890
TTNBP	0.4	187

15

Table 6: Inhibitory concentration required to inhibit 50% of the maximally observed radiolabeled thymidine (**TdR IC₅₀**) in nM, and inhibitory concentration required to inhibit 50% of the mitochondrial function (**MTS IC₅₀**) in nM, for Compounds **9** and **10**, and reference compounds ATRA and TTNBP on the AML-193 cell line.

20

Compound	TdR IC ₅₀ (nM)	MTS IC ₅₀ (nM)
9	0.1	1000
10	0.01	1000
ATRA	197	1000
TTNBP	0.1	1000

EXAMPLE 27

The following examples provide illustrative pharmacological composition formulations:
 Hard gelatin capsules are prepared using the following ingredients:

		<u>Quantity</u> <u>(mg/capsule)</u>
	Compound 9	140
	Starch, dried	100
10	Magnesium stearate	<u>10</u>
	Total	250 mg

The above ingredients are mixed and filled into hard gelatin capsules in 250 mg quantities.

15 A tablet is prepared using the ingredients below:

		<u>Quantity</u> <u>(mg/tablet)</u>
	Compound 9	140
20	Cellulose, microcrystalline	200
	Silicon dioxide, fumed	10
	Stearic acid	<u>10</u>
	Total	360 mg

25 The components are blended and compressed to form tablets each weighing 360 mg.

Tablets, each containing 60 mg of active ingredient, are made as follows:

		<u>Quantity</u> <u>(mg/tablet)</u>
30	Compound 9	60
	Starch	45
	Cellulose, microcrystalline	35
35	Polyvinylpyrrolidone (PVP) (as 10% solution in water)	4
	Sodium carboxymethyl starch (SCMS)	4.5
	Magnesium stearate	0.5
	Talc	<u>1.0</u>
	Total	150 mg

The active ingredient, starch, and cellulose are passed through a No. 45 mesh U.S. sieve and mixed thoroughly. The solution of PVP is mixed with the resultant powders, which are then passed through a No. 14 mesh U.S. sieve. The granules so produced are dried at 50°C and
5 passed through a No. 18 mesh U.S. sieve. The SCMS, magnesium stearate, and talc, previously passed through a No. 60 mesh U.S. sieve, are then added to the granules which, after mixing, are compressed on a tablet machine to yield tablets each weighing 150 mg.

Suppositories, each containing 225 mg of active ingredient, may be made as follows:

Compound 9	225 mg
Saturated fatty acid glycerides	<u>2,000 mg</u>
Total	2,225 mg

The active ingredient is passed through a No. 60 mesh U.S. sieve and suspended in the saturated fatty acid glycerides previously melted using the minimum heat necessary. The mixture
15 is then poured into a suppository mold of normal 2g capacity and allowed to cool.

An intravenous formulation may be prepared as follows:

Compound 9	100 mg
Isotonic saline	1,000 ml
Glycerol	100 ml

20

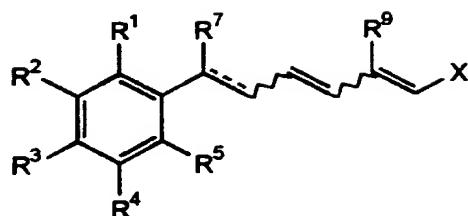
The compound is dissolved in the glycerol and then the solution is slowly diluted with isotonic saline. The solution of the above ingredients is then administered intravenously at a rate of 1 ml per minute to a patient.

While in accordance with the patent statutes, description of the preferred embodiments and processing conditions have been provided, the scope of the invention is not to be limited thereto or thereby. Various modifications and alterations of the present invention will be apparent to those skilled in the art without departing from the scope and spirit of the present invention.

Consequently, for an understanding of the scope of the present invention, reference is
30 made to the following claims.

5 What is claimed is:

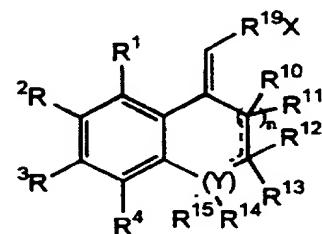
1. A compound of the formulae:



10

(I)

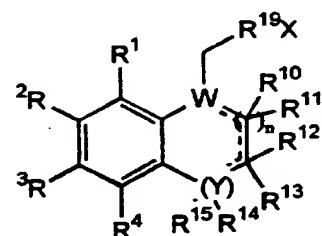
OR



(II)

15

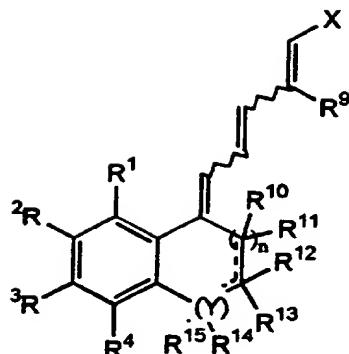
OR



(III)

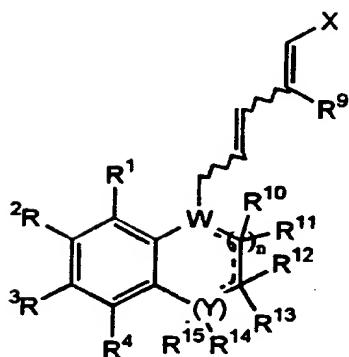
OR

20



(IV)

OR



(V)

wherein:

10 R^1 , R^2 and R^4 each independently are hydrogen, aryl, heteroaryl, CF_3 or a $C_2 - C_6$ alkyl, fluoroalkyl or perfluoroalkyl optionally substituted with $^{14}CH_3$, $^{13}CH_3$, CD_3 , C^3H_3 , and/or $^{13}CD_3$;

15 R^3 and R^5 each independently are hydrogen, CF_3 , a $C_1 - C_3$ alkyl, a C_1 to C_3 fluoroalkyl or perfluoroalkyl, or OR⁶, where R^6 is hydrogen CF_3 , a $C_1 - C_2$ alkyl or a C_1 to C_2 fluoroalkyl or perfluoroalkyl, provided, however, that R^1 and R^5 cannot be CF_3 or alkyl, fluoroalkyl or perfluoroalkyl when R^3 is CF_3 or alkyl, fluoroalkyl or perfluoroalkyl;

20 R^7 is a $C_1 - C_4$ alkyl optionally substituted with $^{14}CH_3$, $^{13}CH_3$, CD_3 , C^3H_3 , and/or $^{13}CD_3$ or CH_2OR^8 , where R^8 represents hydrogen, a $C_1 - C_6$ alkyl, a $C_3 - C_7$ saturated or

5 unsaturated cycloalkyl optionally substituted with a C₁ - C₄ alkyl, F, Cl, Br, I, OH, CF₃, OR⁶, NR⁶, where R⁶ has the definition given above;

R⁹ is a C₁ - C₄ alkyl;

R¹⁰ through R¹⁵ each independently are hydrogen, a C₁ - C₆ alkyl or CF₃;

X is COOR¹⁶, CONR¹⁷, or CONR¹⁷R¹⁸ where R¹⁶ represents hydrogen or a C₁ - 10 C₆ alkyl, and where R¹⁷ and R¹⁸ each independently represent a C₁ - C₆ alkyl, or an aryl or heteroaryl optionally substituted with OH, F, Br, Cl or I, provided, however, that R¹⁷ and R¹⁸ both cannot be an aryl or heteroaryl;

15 Y is C, O, S or N, provided that, when Y is O, then R¹⁴ and R¹⁵ do not exist, and when Y is N, then R¹⁴ and R¹⁵ cannot be CF₃, and when Y is S, then R¹⁴ and R¹⁵ can independently or together represent O, or may be absent altogether;

W is N or CR¹⁶, where R¹⁶ has the same definition given above;

R¹⁹ is an aryl or heteroaryl optionally substituted with one or more substituents selected from the group consisting of hydrogen, F, Cl, Br, I or a C₁ - C₆ alkyl, wherein X has the same definition given above;

20 n is 0, 1 or 2;
the dotted lines designate optional double bonds; and
the wavy lines depict carbon to carbon bonds in either the *cis* or *trans* configurations,
provided, however, that when R¹, R², R⁴ and R⁵ are all hydrogen, then R³ cannot be aryl.

25 2. A compound according to claim 1, wherein R¹, R² and R⁴ independently represent C₃ - C₆ branched alkyls, fluoroalkyls or perfluoroalkyls.

30 3. A compound according to claim 1, wherein R² and R⁴ independently represent C₃ - C₆ branched alkyls, fluoroalkyls or perfluoroalkyls, and R¹, R³ and R⁵ are all hydrogen.

5

4. A compound according to claim 1, wherein R² and R⁴ independently represent isopropyl, t-butyl or CF₃, and R¹, R³ and R⁵ are all hydrogen.

5. A compound according to claim 1, selected from the group consisting of ethyl
10 (2E, 4E, 6E)-7-(3,5-di-t-butylphenyl)-3-methylocta-2,4,6-trienoate; ethyl (2E, 4E, 6Z)-7-(3,5-di-t-butylphenyl)-3-methylocta-2,4,6-trienoate; (2E, 4E, 6E)-7-(3,5-di-t-butylphenyl)-3-methylocta-2,4,6-trienoic acid; (2E, 4E, 6Z)-7-(3,5-di-t-butylphenyl)-3-methylocta-2,4,6-trienoic acid; ethyl (2E, 4E)-7-(3,5-di-t-butylphenyl)-3-methylocta-2,4-dienoate; (2E, 4E)-7-(3,5-di-t-butylphenyl)-3-methylocta-2,4-dienoic acid; ethyl (2E, 4E, 6E)-7-(3,5-di-t-butylphenyl)-3-methyldeca-2,4,6-trienoate; ethyl (2E, 4E, 6E)-7-(3,5-di-t-butylphenyl)-3-methyldeca-2,4,6-trienoate; (2E, 4E, 6E)-7-(3,5-di-t-butylphenyl)-3-methyldeca-2,4,6-trienoic acid; ethyl (2E, 4E, 6Z)-7-(3,5-di-t-butylphenyl)-3-methyldeca-2,4,6-trienoic acid; ethyl (2E, 4E, 6E)-6-(6,8,-di-t-butylchroman-4-ylidene)-3-methylhexa-2,4,6-trienoate; ethyl (2E, 4E, 6Z)-6-(6,8,-di-t-butylchroman-4-ylidene)-3-methylhexa-2,4,6-trienoate; (2E, 4E, 6E)-6-(6,8,-di-t-butylchroman-4-ylidene)-3-methylhexa-2,4,6-trienoic acid; (2E, 4E, 6Z)-6-(6,8,-di-t-butylchroman-4-ylidene)-3-methylhexa-2,4,6-trienoic acid; (2E, 4E, 6E)-7-(3,5-di-trifluoromethylphenyl)-3-methylocta-2,4,6-trienoic acid; (2E, 4E, 6Z)-7-(3,5-di-trifluoromethylphenyl)-3-methylocta-2,4,6-trienoic acid; (2E, 4E, 6E)-7-(3,5-di-isopropylphenyl)-3-methylocta-2,4,6-trienoic acid; (2E, 4E, 6Z)-7-(3,5-di-isopropylphenyl)-3-methylocta-2,4,6-trienoic acid; (2E, 4E, 6E)-7-(4-t-butylphenyl)-3-methylocta-2,4,6-trienoic acid; (2E, 4E, 6E)-7-(3,5-di-t-butyl-4-methoxyphenyl)-3-methylocta-2,4,6-trienoic acid; (2E, 4E, 6E)-3-methyl-7-(3,5-di-t-butyl-4-methoxy-phenyl)octa-2,4,6-trienoic acid; (2E, 4E, 6E)-3-methyl-7-(3,4-di-ethylphenyl)octa-2,4,6-trienoic acid; (2E, 4E, 6E)-3-methyl-7-(3,4-di-ethylphenyl)octa-2,4,6-trienoic acid; (2E, 4E, 6E)-3-methyl-7-(3,4-di-ethylphenyl)octa-2,4,6-trienoic acid; (2E, 4E, 6E)-3-methyl-7-(3,4-di-ethylphenyl)octa-2,4,6-trienoic acid; (2E, 4E, 6E)-3-methyl-7-(3,4-di-ethylphenyl)nona-2,4,6-trienoic acid; and (2E, 4E, 6Z)-3-Methyl-7-(3,4-diethyl-6-methylphenyl)nona-2,4,6-trienoic acid.

5 6. A compound according to claim 1, wherein the compound comprises a
retinoid compound.

10 7. A compound according to claim 6, wherein the compound exhibits 50%
maximal activation potency of one or more retinoid receptors at a concentration of less than
100 nM.

15 8. A compound according to claim 6, wherein the compound exhibits 50%
maximal activation potency of one or more retinoid receptors at a concentration of less than
50 nM.

20 9. A compound according to claim 6, wherein the compound exhibits 50%
maximal activation potency of one or more retinoid receptors at a concentration of less than
20 nM.

25 10. A compound according to claim 6, wherein the compound exhibits 50%
maximal activation potency of one or more retinoid receptors at a concentration of less than
10 nM.

30 11. A compound according to claim 6, wherein the compound exhibits activity as
a selective RAR agonist.

35 12. A compound according to claim 11, wherein the compound is at least two
times more potent an activator of RAR than of RXR.

40 13. A compound according to claim 11, wherein the compound is at least five
times more potent an activator of RAR than of RXR.

45 14. A compound according to claim 11, wherein the compound is at least ten
times more potent an activator of RAR than of RXR.

5 15. A compound according to claim 11, wherein the compound is at least one hundred times more potent an activator of RAR than of RXR.

10 16. A compound according to claim 6, wherein the compound exhibits activity as a selective RXR agonist.

10 17. A compound according to claim 16, wherein the compound is at least two times more potent an activator of RXR than of RAR.

15 18. A compound according to claim 16, wherein the compound is at least five times more potent an activator of RAR than of RXR.

19. A compound according to claim 16, wherein the compound is at least ten times more potent an activator of RAR than of RXR.

20 20. A compound according to claim 16, wherein the compound is at least one hundred times more potent an activator of RAR than of RXR.

21. A compound according to claim 6, wherein the compound exhibits pan-agonist activity as both an activator of RAR and RXR.

25 22. A compound according to claim 1, wherein the compound is administered to a patient as a dosage unit at from about 1 μ g/kg of body weight to about 500 mg/kg of body weight.

30 23. A compound according to claim 1, wherein the compound is administered to a patient as a dosage unit at from about 10 μ g/kg of body weight to about 250 mg/kg of body weight.

5 24. A compound according to claim 1, wherein the compound is administered to
a patient as a dosage unit at from about 20 μ g/kg of body weight to about 100 mg/kg of body
weight.

10 25. A compound according to claim 1, wherein the compound is effective in
treating skin-related diseases and conditions, cancerous and pre-cancerous conditions,
diseases of the eye, cardiovascular diseases, inflammatory diseases, neurodegenerative
diseases, diseases involving modulation of apoptosis, diseases of the immune system,
improper pituitary function, diseases involving human papilloma virus, wound healing or
restoration of hair growth.

15 26. A pharmaceutical composition comprising a compound of claim 1 and a
pharmaceutically acceptable carrier.

20 27. A pharmaceutical composition according to claim 26, wherein the
composition is formulated for oral, topical, intravenous, suppository or parental
administration.

25 28. A pharmaceutical composition according to claim 26, wherein the compound
is administered to a patient as a dosage unit at from about 1 μ g/kg of body weight to about
500 mg/kg of body weight.

30 29. A pharmaceutical composition according to claim 26, wherein the compound
is administered to a patient as a dosage unit at from about 10 μ g/kg of body weight to about
250 mg/kg of body weight.

30 30. A pharmaceutical composition according to claim 26, wherein the compound
is administered to a patient as a dosage unit at from about 20 μ g/kg of body weight to about
100 mg/kg of body weight.

5 31. A pharmaceutical composition according to claim 26, wherein the composition is effective in treating skin-related diseases and conditions, cancerous and pre-cancerous conditions, diseases of the eye, cardiovascular diseases, inflammatory diseases, neurodegenerative diseases, diseases involving modulation of apoptosis, diseases of the immune system, improper pituitary function, diseases involving human papilloma virus,
10 wound healing or restoration of hair growth.

32. A method of affecting RAR and/or RXR activity comprising the *in vivo* administration of a compound according to claim 1.

15 33. A method of modulating processes mediated by RAR and/or RXR receptors comprising administering to a patient an effective amount of a compound according to claim 6.

20 34. A method of treating a patient requiring retinoid therapy comprising administering to the patient a pharmaceutically effective amount of a compound according to claim 6.

25 35. A method of treating a patient according to claim 34, wherein the compound is effective in treating skin-related diseases and conditions, cancerous and pre-cancerous conditions, diseases of the eye, cardiovascular diseases, inflammatory diseases, neurodegenerative diseases, diseases involving modulation of apoptosis, diseases of the immune system, improper pituitary function, diseases involving human papilloma virus, wound healing or restoration of hair growth.

30 36. A method of treating a patient requiring retinoid therapy comprising administering to the patient a pharmaceutically effective amount of a pharmaceutical composition according to claim 26.

35 37. A method of treating a patient according to claim 36, wherein the composition is effective in treating skin-related diseases and conditions, cancerous and pre-

5 cancerous conditions, diseases of the eye, cardiovascular diseases, inflammatory diseases, neurodegenerative diseases, diseases involving modulation of apoptosis, diseases of the immune system, improper pituitary function, diseases involving human papilloma virus, wound healing or restoration of hair growth.

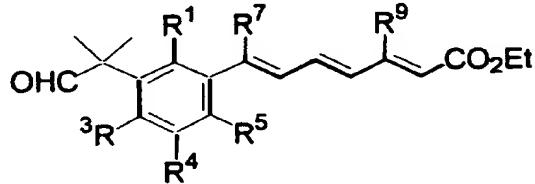
10 38. A method for determining the presence of one or more RAR and/or RXR receptors in a sample comprising combining a compound according to claim 1 with a sample containing one or more unknown retinoid receptors, and determining whether said compound binds to a receptor in the sample.

15 39. A ligand-retinoid receptor complex formed by the binding of a compound according to claim 1 to a RAR and/or RXR receptor.

40. A method of purifying retinoid receptors comprising combining a compound according to claim 1 with a sample containing RAR and/or RXR receptors, allowing said 20 compound to bind said receptors, and separating out the bound combination of said compound and said RAR and/or RXR receptors.

41. A method of making of tritium labeled compound according to claim 1, structure I, comprising:

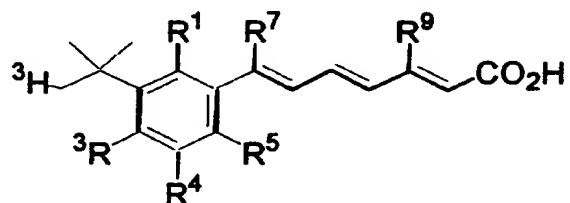
25 (a) coupling a trienoate aldehyde of the formula:



with an aryl sulfonyl hydrazide to yield the corresponding hydrazone; and
30 (b) reducing the hydrazone with a tritide to yield the corresponding tritium labeled trienoate, wherein, R¹ and R² through R¹⁰ have the definitions given in claim 1.

5

42. A method according to claim 41, further comprising, saponifying the tritium labeled trienoate to yield the corresponding trienoic acid of the formula:



10

wherein, R¹ and R² through R¹⁰ have the definitions given in claim 1.

INTERNATIONAL SEARCH REPORT

Int'l Application No
PCT/US 95/16695

A. CLASSIFICATION OF SUBJECT MATTER			
IPC 6	C07C57/42	A61K31/19	C07C59/64
	C07D211/00	C07D221/00	C07D265/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C07C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	FR,A,2 719 041 (CIRD GALDERMA) 27 October 1995 see page 7, line 23 - page 8, line 14 see claims 1-4,17-23 ---	1,25-27, 31
X	US,A,4 193 931 (LOELIGER) 18 March 1980 see column 7, line 60 - column 9, line 22 see claims 1-4,13 ---	1,25-27, 31
X	US,A,3 882 157 (HENRICK) 6 May 1975 see claims 1-3 ---	1
X	US,A,3 845 089 (HENRICK) 29 October 1974 see claims 1-4 -----	1

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

2

Date of the actual completion of the international search	Date of mailing of the international search report
3 May 1996	10.05.96
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentstaan 2 NL - 2280 HV Rijswijk Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl, Fax (+ 31-70) 340-3016	Authorized officer Klag, M

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 95/ 16695

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
Although claims 32-40 are directed to a method of treatment of (diagnostic method practised on) the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2. Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
In view of the large number of compounds which are defined by the general formulas of claims 1, the search was limited to the compounds specifically mentioned in the claims, within the scope of formula I (PCT Search Guidelines III 3. 3.6 and 3.7).
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- The additional search fees were accompanied by the applicant's protest.
 No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 95/16695

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
FR-A-2719041	27-10-95	AU-B-	1651195	16-11-95
		CA-A-	2147806	27-10-95
		EP-A-	0679628	02-11-95
		FI-A-	951966	27-10-95
		NO-A-	951543	27-10-95
		PL-A-	308342	30-10-95
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US-A-4193931	18-03-80	LU-A-	77254	18-01-79
		AR-A-	220704	28-11-80
		AT-B-	356647	12-05-80
		AT-B-	362778	10-06-81
		AU-B-	522598	17-06-82
		AU-B-	3576278	08-11-79
		BE-A-	866651	03-11-78
		CA-A-	1114830	22-12-81
		CH-A-	642380	13-04-84
		CH-A-	641755	15-03-84
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		GB-A-	1600914	21-10-81
		JP-C-	1492324	20-04-89
		JP-A-	53137940	01-12-78
		JP-B-	63032777	01-07-88
		NL-A-	7804773	07-11-78
		SE-B-	436644	14-01-85
		SE-A-	7805136	05-11-78
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US-A-3882157	06-05-75	US-A-	3845089	29-10-74
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US-A-3845089	29-10-74	US-A-	3882157	06-05-75
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